



# **Multiannual work programme 2023-2027**

## **GENERAL ANNEXES**

Version 2



## CONTENTS

Annex 1: HORIZON Europe conditions applicable to Chips JU .....	4
Annex 2: General DIGITAL EUROPE PROGRAMME conditions .....	7
1. Admissibility .....	7
2. Eligibility .....	7
3. Financial and operational capacity and exclusion .....	11
4. Award criteria for the calls for proposals .....	13
5. Priority order of proposals with the same score .....	14
Annex 3: Management of contributions from the participating states .....	15
Annex 4: Restrictions for the protection of European digital infrastructures, communication and information systems, and related supply chains .....	16
Annex 5: Country specific eligibility rules .....	19
Austria .....	19
Belgium .....	22
Czechia .....	29
Cyprus .....	32
Denmark – Innovation Fund Denmark (IFD) .....	35
Estonia .....	39
Finland .....	43
Greece .....	45
Ireland .....	50
France .....	52
Germany .....	60
Israel .....	63
Italy .....	65
Latvia .....	71
Netherlands .....	73
Poland .....	76
Portugal .....	81
Romania .....	82
Slovenia .....	87



Spain.....	88
Sweden .....	101
Türkiye .....	106
United Kingdom .....	108
Annex 6: Art. 12(6) of Regulation (EU) 2021/694.....	113



## Annex 1: HORIZON Europe conditions applicable to Chips JU

The “Horizon Europe 2023-2025 13. General Annexes (European Commission Decision C(2024)2371 of 17 April 2024)”<sup>1</sup> apply with some exceptions specific to certain calls

**A – Admissibility.** The page limits as mentioned under the different call descriptions in the Chips JU Work Programme 2025 are applicable as well as in the Guide for Applicants regarding the format.

**B – Eligibility.** Where eligibility is limited to certain technology readiness levels (TRLs), the table below provides guidance for assessing the TRLs. The table emphasizes the differences between the different levels as well as the difference between hardware and software related actions.

	Definition in HE WP	Hardware description	Software description	Exit criteria
1	Basic principles observed and reported.	Scientific knowledge generated underpinning hardware technology concepts/applications.	Scientific knowledge generated underpinning basic properties of software architecture and mathematical formulation.	Peer reviewed publication of research underlying the proposed concept/application.
2	Technology concept formulated	Invention begins, practical application is identified but is speculative, no experimental proof or detailed analysis is available to support the conjecture.	Practical application is identified but is speculative, no experimental proof or detailed analysis is available to support the conjecture. Basic properties of algorithms, representations and concepts defined. Basic principles coded. Experiments performed with synthetic data.	Documented description of the application/concept that addresses feasibility and benefit.
3	Experimental proof of concept	Analytical studies place the technology in an appropriate context and laboratory demonstrations, modelling and simulation validate analytical prediction.	Development of limited functionality to validate critical properties and predictions using non-integrated software components., modelling and simulation	Documented analytical/experimental results validating predictions of key parameters.
4	Technology validated in a lab	A low fidelity system/component breadboard is built and operated to demonstrate basic functionality and critical test environments, and associated performance predictions are defined relative to the final operating environment.	Key, functionally critical, software components are integrated, and functionally validated, to establish interoperability and begin architecture development. Relevant Environments defined and performance in this environment predicted.	Documented test performance demonstrating agreement with analytical predictions. Documented definition of relevant environment.
5	Technology validated in relevant environment. (industrially relevant environment in the case	A medium fidelity system is built and operated to demonstrate overall performance in a simulated operational environment with realistic support elements that demonstrates overall	End-to-end software elements implemented and interfaced with existing systems/simulations conforming to target environment. End-to-end software system, tested in relevant environment, meeting	Documented test performance demonstrating agreement with analytical predictions. Documented definition of scaling requirements.

<sup>1</sup> Refer to [https://ec.europa.eu/info/funding-tenders/opportunities/docs/2021-2027/horizon/wp-call/2023-2024/wp-13-general-annexes\\_horizon-2023-2024\\_en.pdf](https://ec.europa.eu/info/funding-tenders/opportunities/docs/2021-2027/horizon/wp-call/2023-2024/wp-13-general-annexes_horizon-2023-2024_en.pdf)



	of key enabling technologies)	performance in critical areas. Performance predictions are made for subsequent development phases.	predicted performance. Operational environment performance predicted. Prototype implementations developed.	
6	Technology demonstrated in relevant environment (industrially relevant environment in the case of key enabling technologies)	A high fidelity system/component prototype that adequately addresses all critical scaling issues is built and operated in a relevant environment to demonstrate operations under critical environmental conditions.	Prototype implementations of the software demonstrated on full-scale realistic problems. Partially integrate with existing hardware/software systems. Limited documentation available. Engineering feasibility fully demonstrated.	Documented test performance demonstrating agreement with analytical predictions.
7	System prototype demonstration in an operational environment.	A high fidelity engineering unit that adequately addresses all critical scaling issues is built and operated in a relevant environment to demonstrate performance in the actual operational environment and platform.	Prototype software exists having all key functionality available for demonstration and test. Well integrated with operational hardware/software systems demonstrating operational feasibility. Most software bugs removed. Limited documentation available.	Documented test performance demonstrating agreement with analytical predictions.
8	System complete and qualified	The final product in its final configuration is successfully demonstrated through test and analysis for its intended operational environment and platform	All software has been thoroughly debugged and fully integrated with all operational hardware and software systems. All user documentation, training documentation, and maintenance documentation completed. All functionality successfully demonstrated in simulated operational scenarios. Verification and Validation (V&V) completed.	Documented test performance verifying analytical predictions.
9	Actual system proven in an operational environment (competitive manufacturing in the case of key enabling technologies, or in space)			

### Security guarantees

In order to achieve the expected outcomes, and to safeguard the Union's strategic assets, interests, autonomy, security, and the EU's need to ensure a robust semiconductor supply chain which is vital for economic stability and growth, particularly in the face of global supply chain disruptions, participation is limited to legal entities established in the countries specified under each topic in the work programme, where restrictions apply.

For the duly justified and exceptional reasons listed in the paragraph above, in order to



guarantee the protection of the strategic interests of the Union and its Member States, entities established in an eligible country, but which are directly or indirectly controlled by a non-eligible country or by a non-eligible country entity, may not participate in the action. However, a legal entity established in an eligible country which is directly or indirectly controlled by a non-eligible country or by a non-eligible country entity may participate if it can be demonstrated, by means of guarantees approved by their eligible country of establishment, that their participation to the action would not negatively impact the Union's strategic assets, interests, autonomy, or security<sup>2</sup>.

Where applicable, security guarantees have to be provided after proposal selection.

The guarantees shall in particular substantiate that, for the purpose of the action, measures are in place to ensure that:

- a) control over the applicant legal entity is not exercised in a manner that retrains or restricts its ability to carry out the action and to deliver results, that imposes restrictions concerning its infrastructure, facilities, assets, resources, intellectual property or know-how needed for the purpose of the action, or that undermines its capabilities and standards necessary to carry out the action;
- b) access by a non-eligible country or by a non-eligible country entity to sensitive information relating to the action is prevented; and the employees or other persons involved in the action have a national security clearance issued by an eligible country, where appropriate;
- c) ownership of the intellectual property arising from, and the results of, the action remain within the recipient during and after completion of the action, are not subject to control or restrictions by non-eligible countries or a non-eligible country entity, and are not exported outside the eligible countries, nor is access to them from outside the eligible countries granted, without the approval of the eligible country in which the legal entity is established.

## **D – Award criteria**

Scores and weighting factors are indicated in the calls/topics descriptions.

## **F - Procedure. Evaluation procedure and ranking.**

The call specific annexes contain the rules applicable to the Chips JU call evaluations. The rules for evaluation and selection of proposals, as adopted by the GB (GB 2024.71), include the rules on conflicts of interest. This document is available in the calls pages in the Funding and tenders portal.

## **G – Legal and financial set-up of the grant agreement.**

The call/topic descriptions contain the provisions and funding rates applicable to the calls.

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<sup>2</sup> According to Article 22(5) of the Horizon Europe Regulation, <https://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX:02021R0695-20240301>



## Annex 2: General DIGITAL EUROPE PROGRAMME conditions

### 1. Admissibility

Proposals must be submitted before the call deadline.

Proposals must be submitted **electronically** via the Funding & Tenders Portal Electronic Submission System. Paper submissions are NOT possible.

Proposals (including annexes and supporting documents) must be submitted using the forms provided *inside* the Submission System.

Proposals must be **complete and contain** all the requested information and all required annexes and supporting documents.

- Application Form Part A — contains administrative information about the participants (future coordinator, beneficiaries and affiliated entities) and the summarised budget for the project (*to be filled in directly online*)
- Application Form Part B — contains the technical description of the project (*to be downloaded from the Portal Submission System, completed and then assembled and re-uploaded*)
- Any other mandatory annexes and supporting documents

At proposal submission, you will have to confirm that you have the **mandate to act** for all applicants. Moreover, you will have to confirm that the information in the application is correct and complete and that the participants comply with the conditions for receiving EU funding (especially eligibility, financial and operational capacity, exclusion, etc). Before signing the grant, each beneficiary and affiliated entity will have to confirm this again by signing a declaration of honour (DoH). Proposals without full support will be rejected.

Your application must be **readable, accessible and printable**.

You may be asked at a later stage for further documents (*for legal entity validation, financial capacity check, bank account validation, etc*).

For more information about the submission process (including IT aspects), consult the [Online Manual](#).

### 2. Eligibility

In order to be eligible, the applicants (beneficiaries and affiliated entities) must:

- be legal entities (public or private bodies)



- be established in one of the eligible countries, i.e.:
  - EU Member States (including overseas countries and territories (OCTs))
  - non-EU countries:
    - listed EEA countries and countries associated to the Digital Europe Programme ([list of participating countries](#))

Beneficiaries and affiliated entities must register in the Participant Register — before submitting the proposal — and will have to be validated by the Central Validation Service (REA Validation). For the validation, they will be requested to upload documents showing legal status and origin.

Moreover, participation in any capacity (as beneficiary, affiliated entity, associated partner, subcontractor or recipient of financial support to third parties) is limited to entities established in eligible countries.

### Specific cases

Natural persons — Natural persons are NOT eligible (with the exception of self-employed persons, i.e. sole traders, where the company does not have legal personality separate from that of the natural person).

International organisations — International organisations are not eligible, unless they are International organisations of European Interest within the meaning of Article 2 of the Digital Europe Regulation (i.e. international organisations the majority of whose members are Member States or whose headquarters are in a Member State).

Entities without legal personality — Entities which do not have legal personality under their national law may exceptionally participate, provided that their representatives have the capacity to undertake legal obligations on their behalf, and offer guarantees for the protection of the EU financial interests equivalent to that offered by legal persons<sup>3</sup>.

EU bodies — EU bodies (with the exception of the European Commission Joint Research Centre) can NOT be part of the consortium.

Associations and interest groupings — Entities composed of members may participate as ‘sole beneficiaries’ or ‘beneficiaries without legal personality’<sup>4</sup>. Please note that if the action will be implemented by the members, they should also participate (either as beneficiaries or as affiliated entities, otherwise their costs will NOT be eligible).

Countries currently negotiating association agreements — Beneficiaries from countries with ongoing negotiations for participating in the programme (*see list of participating countries above*) may participate in the call and can sign grants if the negotiations are concluded before

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<sup>3</sup> See Article 197(2)(c) EU Financial Regulation [2018/1046](#).

<sup>4</sup> For the definitions, see Articles 187(2) and 197(2)(c) EU Financial Regulation [2018/1046](#).





grant signature and if the association covers the call (i.e. is retroactive and covers both the part of the programme and the year when the call was launched).

Following the [Council Implementing Decision \(EU\) 2022/2506](#), as of 16th December 2022, no legal commitments (including the grant agreement itself as well as subcontracts, purchase contracts, financial support to third parties etc.) can be signed with Hungarian public interest trusts established under Hungarian Act IX of 2021 or any entity they maintain.

Affected entities may continue to apply to calls for proposals. However, in case the Council measures are not lifted, such entities are not eligible to participate in any funded role (beneficiaries, affiliated entities, subcontractors, recipients of financial support to third parties). In this case, co-applicants will be invited to remove or replace that entity and/or to change its status into associated partner. Tasks and budget may be redistributed accordingly.

EU restrictive measures — Special rules apply for certain entities (*e.g. entities subject to [EU restrictive measures](#) under Article 29 of the Treaty on the European Union (TEU) and Article 215 of the Treaty on the Functioning of the EU (TFEU)*<sup>5</sup>). Such entities are not eligible to participate in any capacity, including as beneficiaries, affiliated entities, associated partners, subcontractors or recipients of financial support to third parties (if any).

For more information, see [Rules for Legal Entity Validation, LEAR Appointment and Financial Capacity Assessment](#).

### **Ethics**

Projects must comply with:

- highest ethical standards and
- applicable EU, international and national law (including the [General Data Protection Regulation 2016/679](#)).

Proposals under this call will have to undergo an ethics review to authorise funding and may be made subject to specific ethics rules (which become part of the Grant Agreement in the form of ethics deliverables, *e.g. ethics committee opinions/notifications/authorisations required under national or EU law*).

For proposals involving development, testing, deployment, use or distribution of AI systems, the ethics review will in particular check compliance with the principles of human agency and oversight, diversity/fairness, transparency and responsible social impact, while the experts performing the technical evaluation will assess the robustness of the AI systems (i.e. their reliability not to cause unintentional harm).

### **Security**

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<sup>5</sup> Please note that the EU Official Journal contains the official list and, in case of conflict, its content prevails over that of the [EU Sanctions Map](#).



Projects involving EU classified information must undergo security scrutiny to authorise funding and may be made subject to specific security rules (detailed in a security aspects letter (SAL) which is annexed to the Grant Agreement).

These rules (governed by Decision [2015/444](#)<sup>6</sup> and its implementing rules and/or national rules) provide for instance that:

- projects involving information classified TRES SECRET UE/EU TOP SECRET (or equivalent) can NOT be funded
- classified information must be marked in accordance with the applicable security instructions in the SAL
- information with classification levels CONFIDENTIEL UE/EU CONFIDENTIAL or above (and RESTREINT UE/ EU RESTRICTED, if required by national rules) may be:
  - created or accessed only on premises with facility security clearance (FSC) from the competent national security authority (NSA), in accordance with the national rules
  - handled only in a secured area accredited by the competent NSA
  - accessed and handled only by persons with valid personnel security clearance (PSC) and a need-to-know
- at the end of the grant, the classified information must either be returned or continue to be protected in accordance with the applicable rules
- action tasks involving EU classified information (EUCI) may be subcontracted only with prior written approval from the granting authority and only to entities established in an EU Member State or in a non-EU country with a security of information agreement with the EU (or an administrative arrangement with the Commission)
- disclosure of EUCI to third parties is subject to prior written approval from the granting authority.

Please note that, depending on the type of activity, facility security clearance may have to be provided before grant signature. The granting authority will assess the need for clearance in each case and will establish their delivery date during grant preparation. Please note that in no circumstances can we sign any grant agreement until at least one of the beneficiaries in a consortium has facility security clearance.

Further security recommendations may be added to the Grant Agreement in the form of security deliverables (*e.g. create security advisory group, limit level of detail, use fake scenario, exclude use of classified information, etc*).

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<sup>6</sup> See Commission Decision 2015/444/EU, Euratom of 13 March 2015 on the security rules for protecting EU classified information (OJ L 72, 17.3.2015, p. 53).



Beneficiaries must ensure that their projects are not subject to national/third-country security requirements that could affect implementation or put into question the award of the grant (*e.g. technology restrictions, national security classification, etc*). The granting authority must be notified immediately of any potential security issues.

### 3. Financial and operational capacity and exclusion

#### Financial capacity

Applicants must have **stable and sufficient resources** to successfully implement the projects and contribute their share. Organisations participating in several projects must have sufficient capacity to implement all these projects.

The financial capacity check will be carried out on the basis of the documents you will be requested to upload in the [Participant Register](#) during grant preparation (*e.g. profit and loss account and balance sheet, business plan, audit report produced by an approved external auditor, certifying the accounts for the last closed financial year, etc*). The analysis will be based on neutral financial indicators, but will also take into account other aspects, such as dependency on EU funding and deficit and revenue in previous years.

The check will normally be done for all beneficiaries, except:

- public bodies (entities established as public body under national law, including local, regional or national authorities) or international organisations
- if the individual requested grant amount is not more than EUR 60 000.

If needed, it may also be done for affiliated entities.

If we consider that your financial capacity is not satisfactory, we may require:

- further information
- an enhanced financial responsibility regime, i.e. joint and several responsibility for all beneficiaries or joint and several liability of affiliated entities (*see below, section 10*)
- prefinancing paid in instalments
- (one or more) prefinancing guarantees (*see below, section 10*)

or

- propose no prefinancing
- request that you are replaced or, if needed, reject the entire proposal.

For more information, see [Rules for Legal Entity Validation, LEAR Appointment and Financial Capacity Assessment](#).

#### Operational capacity



Applicants must have the **know-how, qualifications** and **resources** to successfully implement the projects and contribute their share (including sufficient experience in projects of comparable size and nature).

This capacity will be assessed together with the ‘Implementation’ award criterion, on the basis of the competence and experience of the applicants and their project teams, including operational resources (human, technical and other) or, exceptionally, the measures proposed to obtain it by the time the task implementation starts.

If the evaluation of the award criterion is positive, the applicants are considered to have sufficient operational capacity.

Applicants will have to show their capacity via the following information:

- general profiles (qualifications and experiences) of the staff responsible for managing and implementing the project
- description of the consortium participants
- list of previous projects (key projects for the last 4 years; *template available in Part B*).

Additional supporting documents may be requested, if needed to confirm the operational capacity of any applicant.

### **Exclusion**

Applicants which are subject to an **EU exclusion decision** or in one of the following **exclusion situations** that bar them from receiving EU funding can NOT participate<sup>7</sup>:

- bankruptcy, winding up, affairs administered by the courts, arrangement with creditors, suspended business activities or other similar procedures (including procedures for persons with unlimited liability for the applicant’s debts)
- in breach of social security or tax obligations (including if done by persons with unlimited liability for the applicant’s debts)
- guilty of grave professional misconduct<sup>8</sup> (including if done by persons having powers of representation, decision-making or control, beneficial owners or persons who are essential for the award/implementation of the grant)
- committed fraud, corruption, links to a criminal organisation, money laundering, terrorism-related crimes (including terrorism financing), child labour or human

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<sup>7</sup> See Articles 136 and 141 of EU Financial Regulation [2018/1046](#).

<sup>8</sup> Professional misconduct includes: violation of ethical standards of the profession, wrongful conduct with impact on professional credibility, false declarations/misrepresentation of information, participation in a cartel or other agreement distorting competition, violation of IPR, attempting to influence decision-making processes or obtain confidential information from public authorities to gain advantage.



trafficking (including if done by persons having powers of representation, decision-making or control, beneficial owners or persons who are essential for the award/implementation of the grant)

- shown significant deficiencies in complying with main obligations under an EU procurement contract, grant agreement, prize, expert contract, or similar (including if done by persons having powers of representation, decision-making or control, beneficial owners or persons who are essential for the award/implementation of the grant)

- guilty of irregularities within the meaning of Article 1(2) of EU Regulation [2988/95](#) (including if done by persons having powers of representation, decision-making or control, beneficial owners or persons who are essential for the award/implementation of the grant)

- created under a different jurisdiction with the intent to circumvent fiscal, social or other legal obligations in the country of origin or created another entity with this purpose (including if done by persons having powers of representation, decision-making or control, beneficial owners or persons who are essential for the award/implementation of the grant).

Applicants will also be rejected if it turns out that<sup>9</sup>:

- during the award procedure they misrepresented information required as a condition for participating or failed to supply that information
- they were previously involved in the preparation of the call and this entails a distortion of competition that cannot be remedied otherwise (conflict of interest).

#### 4. Award criteria for the calls for proposals

Proposals are evaluated and scored against award criteria set out for each DIGITAL topic. The general award criteria for the Digital Europe calls apply unless indicated otherwise. These award criteria are as follows:

##### *Relevance:*

- Alignment with the objectives and activities as described in this Work Programme
- Contribution to long-term policy objectives, relevant policies and strategies, and synergies with activities at European and national level

##### *Implementation*

- Maturity of the project

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<sup>9</sup> See Article 141 EU Financial Regulation [2018/1046](#).



- Soundness of the implementation plan and efficient use of resources
- Capacity of the applicants, and when applicable the consortium as a whole, to carry out the proposed work

#### *Impact*

- Extent to which the project will achieve the expected outcomes and deliverables referred to in this work programme and, when relevant, the plans to disseminate and communicate project achievements
- Extent to which the project will strengthen competitiveness and bring important benefits for society

### **5. Priority order of proposals with the same score**

The following method will be applied. As part of the evaluation by independent experts, a panel review will recommend a ranked list for the proposals under evaluation. A ranked list will be drawn up for every indicative budget shown in the call conditions. If necessary, the panel will determine a priority order for proposals which have been awarded the same score within a ranked list. The following approach will be applied successively for every group of ex-aequo proposals requiring prioritisation, starting with the highest scored group, and continuing in descending order:

- Proposals that address aspects of the call that have not otherwise been covered by more highly ranked proposals will be considered to have the highest priority
- Remaining proposals in a group with the same scores will themselves be prioritised per the scores they have been awarded for the criterion “Impact”. When these scores are equal, priority will be based on scores for the criterion “Excellence”.

Further ex-aequo proposals are discussed by the panel of experts and scored on the merit of the proposal to fulfil the objectives of Chips JU considering elements such as the enhancement of the quality of the project portfolio through synergies between projects, balance between the type of partners, SME participation, and gender balance. These factors will be documented in the report of the Panel.

#### **Consortium agreement**

In line with the Chips JU Model Grant Agreement, participants are required to conclude a consortium agreement. For the partners of a Participating State that coordinates grants, specific rules may apply regarding the eligibility to national funding.



### **Annex 3: Management of contributions from the participating states**

In accordance with Article 12 SBA, Participating States shall conclude an administrative agreement with the joint undertaking laying down the coordination mechanism for the payment of and reporting on contributions to applicants.

Two administrative agreements are possible:

- Article 12(2): coordination with no entrustment,
- Article 12(3): entrustment of the joint undertaking by the participating state with the payment if its contribution to its beneficiaries.



## **Annex 4: Restrictions for the protection of European digital infrastructures, communication and information systems, and related supply chains**

The protection of European communication networks has been identified as an important security interest of the Union and its Member States<sup>10</sup>. In line with the Commission Recommendation on the cybersecurity of 5G networks of 2019<sup>11</sup> and the subsequent report on EU coordinated risk assessment of the cybersecurity of 5G networks of 2019<sup>12</sup>, the EU Toolbox on 5G cybersecurity<sup>13</sup>, the second report on Member States' progress in implementing the EU toolbox on 5G cybersecurity of 2023<sup>14</sup>, and the related Communication on the implementation of the 5G cybersecurity toolbox of 2023<sup>15</sup>, the Commission together with the Member States has worked to jointly identify and assess cyberthreats and security risks for 5G networks<sup>16</sup>. The toolbox also recommends adding country-specific information (e.g. threat assessment from national security services, etc.). This work is an essential component of the Security Union Strategy and supports the protection of electronic communications networks and other critical infrastructures.

Entities assessed as “high-risk suppliers”, are currently set out in the second report on Member States' progress in implementing the EU toolbox on 5G cybersecurity of 2023<sup>17</sup> and the related Communication on the implementation of the 5G cybersecurity toolbox of 2023<sup>18</sup>.

In accordance with Article 136(2) of the Financial Regulation<sup>19</sup>, this Work Programme has identified actions that concern strategic assets and interests of the Union or its Member States, for which it sets out specific award procedures aimed at ensuring the protection of the integrity of digital infrastructure, communication and information systems, and related supply chains.

This entails the need to avoid the participation of high-risk supplier entities and the use of non-secure equipment and other goods, works and/or services in the deployment of key

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<sup>10</sup> European Council conclusions of 1 and 2 October 2020 (EUCO 13/20), point 11; Council Conclusions on the significance of 5G to the European Economy and the need to mitigate security risks linked to 5G, 14517/19.

<sup>11</sup> Commission Recommendation (EU) 2019/534 of 26 March 2019 Cybersecurity of 5G networks, L 88/42.

<sup>12</sup> NIS Cooperation Group, Report on EU coordinated risk assessment of the cybersecurity of 5G networks, 9 October 2019.

<sup>13</sup> NIS Cooperation Group, EU Toolbox on 5G Cybersecurity, 29 January 2020.

<sup>14</sup> NIS Cooperation Group, Second report on Member States' progress in implementing the EU Toolbox on 5G Cybersecurity, June 2023.

<sup>15</sup> Communication from the Commission: Implementation of the 5g cybersecurity Toolbox, Brussels, 15.6.2023 C(2023) 4049 final.

<sup>16</sup> Within the NIS framework NIS 1 + 2 [Directive - 2022/2555 - EN - EUR-Lex (europa.eu)]

<sup>17</sup> NIS Cooperation Group, Second report on Member States' progress in implementing the EU Toolbox on 5G Cybersecurity, June 2023.

<sup>18</sup> Communication from the Commission: Implementation of the 5G cybersecurity Toolbox, Brussels, 15.6.2023 C(2023) 4049 final.

<sup>19</sup> Regulation (EU, Euratom) 2024/2509 of the European Parliament and of the Council of 23 September 2024 on the financial rules applicable to the general budget of the Union.





digital infrastructures, communication and information systems, and related supply chains to prevent technology transfer and the persistence of dependencies in materials, semiconductor components (including processors), computing resources, software tools and virtualisation technologies, and to preserve the integrity of the concerned systems, including from a cybersecurity perspective.

In order to protect the concerned strategic assets and interests of the Union or its Member States, it is therefore appropriate that the two following additional eligibility criteria apply to the actions listed below and identified in the Work Programme as “subject to restrictions for the protection of European digital infrastructures, communication and information systems, and related supply chains”:

1. Entities that are assessed as high-risk suppliers of mobile network communication equipment (and any entities they own or control) are not eligible to participate in any capacity, including as beneficiaries, affiliated entities, associated partners, third parties giving in-kind contributions, subcontractors or recipients of financial support to third parties (if any).

The assessment is based on the following criteria:

- likelihood of interference from a non-associated third country, for example due to:
    - the characteristics of the entity’s ownership or governance (e.g. state-owned or controlled, government/party involvement);
    - the characteristics of the entity’s business and other conduct (e.g. a strong link to a third country government);
    - the characteristics of the respective third country (e.g. legislation or government practices likely to affect the implementation of the action, including an offensive cyber/intelligence policy, pressure regarding place of manufacturing or access to information).
  - (cyber-)security practices, including throughout the entire supply chain;
  - risks identified in relevant assessments of Member States and third countries as well as other EU institutions, bodies and agencies, if relevant.
2. Equipment and other goods, works and/or services related to 5G/6G mobile network communication equipment, and other technologies linked to the evolution of European communication networks must:
    - not be subject to security requirements by third country that could affect the implementation of the action (e.g. technology restrictions, national security classification limiting the use of the equipment, etc.);
    - comply with (cyber-)security guidance issued by the Commission, in particular communications on the 5G toolbox;
    - apply (cyber-)security requirements throughout the life cycle, including the selection and award procedure and criteria for purchases, the use, and also the related services, including installation, upgrading or maintenance;



- ensure (cyber-)security by adequately protecting the availability, authenticity, integrity, and confidentiality of stored or transmitted or processed data or the functions or services offered by, or accessible via, that equipment.

Exceptions may be requested from the granting authority and will be assessed case-by-case, taking into account the criteria provided for in the 5G cybersecurity toolbox, the security risks and availability of alternatives in the context of the action.

Below is the list of concerned actions, identified in the Work Programme as “subject to restrictions for the protection of European digital infrastructures, communication and information systems, and related supply chains”, and justifications for the application of restrictions. All actions under Article 12(6) of the Regulation (EU) 2021/694 are subject to the restrictions in this Annex, given the sensitive nature of the concerned activities, duly described and justified for each action in the respective section of the Work Programme.

Table 4.1: Actions in the Work Programme subject to security restrictions

Topic	Description
DIGITAL-JU-Chips-2025-CSA-DET	Set-up and integration of Design Enablement Teams
DIGITAL-JU-Chips-2025-SG-SSOI	Accelerator for Advanced Strained Silicon on Insulator Substrates
DIGITAL-Chips-2025-1-IA-LEAI	Low-power Edge AI Chips



## **Annex 5: Country specific eligibility rules**

The conditions and rules expressed in the next Participating State' sections apply only to the participants of that Participating State in particular as to their eligibility for national funding or as to the attribution of national funding.

### **Austria**

#### **National contact person for Chips JU programme**

Country	Name	First name	Tel	E-mail
AUSTRIA	Almansa	Ana	+43 (0)5 7755-5029	<a href="mailto:ana.almansa@ffg.at">ana.almansa@ffg.at</a>
AUSTRIA	Ristanic	Daniela	+43 (0)5 7755-5137	<a href="mailto:daniela.ristanic@ffg.at">daniela.ristanic@ffg.at</a>

National Funding Agency for Austria: [FFG](https://www.ffg.at)

**The full version of the national eligibility criteria can be found at the national homepage of the Call [www.ffg.at/chips/as2024](https://www.ffg.at/chips/as2024).**

#### **Legal requirements for the eligibility of a partner or a project**

##### ***Type or nature of participants***

Legal entities, partnerships and sole traders that are not part of the Austrian federal administration are eligible to receive funding. The following are eligible for funding:

- Companies of any legal form
- Institutions of research and knowledge dissemination
  - Universities<sup>20</sup>
  - Universities of applied sciences
  - Non-university research institutions
  - Technology transfer institutions, innovation agents and other research-oriented organisations such as associations with a relevant purpose
- Other non-commercial institutions
  - Local authorities<sup>21</sup> and autonomous bodies
  - Non-profit making organisations such as NPOs<sup>22</sup>

<sup>20</sup> The smallest possible unit of a university is an institute of the university or a organisation comparable to a UOG 2002/§20 organisation unit. It is a precondition that the participating organisation unit (institute or comparable unit) is authorised with corresponding mandate according to UOG 2002/§ 27. Units below (for example working groups) can not act as project partners.

<sup>21</sup> Activities of local authorities falling within their statutory mandate are not eligible for funding.

<sup>22</sup> "Non-profit making organisations" do not distribute profits to their owners, members or other natural persons or legal entities in accordance with their legal status or articles of association.



### **Legal, administrative, and financial conditions**

The national application for participation of Austrian partners in Chips JU ECS projects has to be submitted electronically via eCall: <https://ecall.ffg.at> before the respective deadlines of the project submission. For further details on the electronic application process for Austrian participants in Chips JU Non Initiative projects please see the full version of the national eligibility criteria on the [FFG website for the Chips JU Non Initiative Calls 2024](#).

FFG experts will check the financial viability (credit rating and liquidity) of the participating enterprises. It is not possible to provide funding to undertakings in difficulty<sup>2324</sup>. Austrian enterprises have to provide the following documents:

- Annual statement of accounts (balance sheet, profit and loss account) from the past 2 financial years
- [Declaration of SME Status](#) for associations and sole traders

The company size is to be determined according to the SME definition as specified by EU competition law: [information on SME definition](#).

### **Consortium configuration**

Specific details on the Austrian requirements to the consortium configuration (in particular concerning the ratio of the personnel resources between Austrian companies and Austrian research organisations) are described in the full version of the Austrian eligibility criteria, which is available in the [FFG web page of the Calls](#).

### **Other conditions**

The following Topics are eligible for national funding:

- HORIZON-Chips-2025-1-IA Topic 1: Global IA Call
- HORIZON-Chips-2025-1-IA Topic 2: Focus Topic on High Performance RISC-V Automotive Processors supporting the vehicle of the future
- HORIZON-Chips-2025-1-IA Topic 3: Focus Topic on Software-defined vehicle middleware and API framework for the vehicle of the future
- HORIZON-Chips-2025-2-RIA Topic 1: Global RIA Call

Further details and relevant conditions are described in the full version of the Austrian guidelines and eligibility criteria, available in the FFG web page of the Calls.

### **Eligibility of the costs and funding**

#### ***Eligibility of costs***

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23

<sup>24</sup> Undertakings in difficulty as defined in the General block exemption Regulation (EU) N°651/2014 of 17 June 2014



The eligibility of costs is in accordance with the national rules on eligible costs. For details on the eligibility of costs see the national Cost Guidelines which are in force at the moment of the Call Opening, as well as the full version of the national eligibility criteria on the FFG web page of the Chips NI Calls 2025.

### ***Funding rates***

The funding rates are described in the full version of the national eligibility criteria on the FFG web page of the Chips NI Calls 2025.

### **Additional Information to be provided at submission and other conditions.**

- **Registration at the eCall System of the FFG** at <https://ecall.ffg.at/> – completion of all relevant forms for both stages (Project Outline and Full Project Proposal).
- Upload of relevant documents in the eCall: balance sheets...



## Belgium

32 473 36 36 00 of +32 (0)2 432 42 07.

### National contact person for KDT JU programme

Country	Name	First name	Tel	E-mail
<b>BELGIUM</b>				
Flanders	DEPREZ	Francis	+32 494 589672 +32 2 432 4301 +32 473 363600 +32 2 432 4207	<a href="mailto:francis.deprez@vlaio.be">francis.deprez@vlaio.be</a>
Brussels- Capital Region	MONTE	Ann		<a href="mailto:ann.monte@vlaio.be">ann.monte@vlaio.be</a>
Wallonia	MAAS	Stijn	+32 2 600 5067	<a href="mailto:smaas@innoviris.brussels">smaas@innoviris.brussels</a>
	MORANA	Cedric	+32 81 33 45 37	<a href="mailto:cedric.morana@spw.wallonie.be">cedric.morana@spw.wallonie.be</a>

Funding authority websites: Flanders: [www.vlaio.be](http://www.vlaio.be)

Brussels: [www.innoviris.brussels](http://www.innoviris.brussels)

Wallonia : [www.recherche.wallonie.be](http://www.recherche.wallonie.be)

Additional for KDT (Flanders):

- [www.vlaio.be](http://www.vlaio.be) KDT specific pages
- [www.vlaio.be/nl/subsidies-financiering/subsidies-voor-ooi-een-internationaal-consortium/networks](http://www.vlaio.be/nl/subsidies-financiering/subsidies-voor-ooi-een-internationaal-consortium/networks) KDT specific pages

### Legal requirements for the eligibility of a partner or a project

#### 1) Type or nature of participants

##### *For Flanders:*

The participant must be a company established in Belgium, with a sustainable economic activity in Flanders, based upon a sound business model.

Flemish Strategic Research Centres (SOC) can be independent legitimate participants.

Research centres and universities can only be legitimate participants in projects compliant to the Flemish O&O-subsidy conditions (Research Partner)

##### *For Brussels:*



Participants in KDT projects wishing to receive funding from Innoviris must be companies, universities or research organisations (in accordance with the definitions provided for by the General Block exemption Regulation for State Aid and the Brussels legislation regulating the action of Innoviris) established on the territory of the Brussels-Capital Region and performing RDI activities within the project.

Please note that no individual partner alone is allowed to support more than 70% of the project's cost.

***For Wallonia:***

Participants in KDT projects must be companies, universities/Colleges or accredited research centres established in the Walloon Region and performing RDI activities within the project.

## **2) Legal, administrative and financial conditions**

***For Flanders:***

Any double public funding of activities is prohibited.

In case of a multinational company, the application needs to be done by the Belgian legal entity or subsidiary.

For the independent project participation of a research centre or university, the legitimate status of Strategic Research Centre (SOC) is mandatory. A specific agreement with VLAIO is compulsory and Flemish governmental funding outside “Fonds voor Innoveren en Ondernemen” applies.

For enterprises “State Aid for Rescuing and Restructuring Firms in Difficulty” is applicable, according Europea definitions (holding level).

***For Brussels:***

For Brussels enterprises wishing to benefit from Innoviris funding, the financing conditions are as follows:

- develop all or some of its R&D activities within the territory covered by the Brussels-Capital Region
- present an innovative RDI project likely to have a favourable impact on employment and/or sustainable development of the Brussels-Capital Region
- show one's ability to finance one's share in the project
- the company is not in difficulty, in accordance with the European legislation
- have fulfilled its obligations in the context of previous support initiatives allocated by the Region.

No other public funding (except the European contribution provided by the JU) can be received by the beneficiaries for the activities performed within the project. Any other funding must be declared to Innoviris.

***For Wallonia:***

The Walloon decree on RDI support (25/06/2008) is the Walloon legal basis to determine the funding of the participants. Participants must be based in Wallonia and the Walloon company(ies) must have a business unit in Wallonia.



The companies have to present an innovative RDI project with a favourable impact on the Walloon economy and/or in terms of employment in alignment with the Walloon S3, as well on sustainable development in Wallonia.

The participants cannot benefit from any other public funding for the same activities.

The participants have fulfilled their obligations in the context of previous support allocated by the Region.

The companies in difficulty, in accordance with the European legislation, cannot not be funded.

### **3) Consortium configuration**

#### ***For Flanders:***

Project application is done by either an enterprise with a legal entity in Belgium and effective operations in Flanders or a legitimate Strategic Research Centre.

Project participation needs to be primarily executed to the benefit of the applying entities.

Participation of research organisations is only possible as research partner (legal subcontracting) to the participation of an enterprise with co-funding by the enterprise.

Applications compliant to the status of Strategic Research Centre need to be done independently.

#### ***For Brussels:***

Participants in KDT projects wishing to receive funding from Innoviris must be a company or a research organisation.

#### ***For Wallonia:***

The Walloon partners of the consortium must include at least one company and the research budget of the Walloon partner company(ies) must correspond to at least 40% of the total budget of all Walloon partners.

### **4) Other conditions**

#### ***For Flanders:***

Enterprises need to prove adequate (financial) means to execute the project and a potential to use the results.

The project should yield socio-economic effects which can be quantified by activities or investments after the completion of the project, by exploitation in Flanders based entities, in accordance with the ruling detailed in the document (except for project applications by Strategic Research Centres). Conditions are compliant to the impact conditions of O&O, detailed on:

[www.vlaio.be/nl/subsidies-financiering/onderzoeksproject/voorwaarden-om-aanmerking-te-komen-voor-de-subsidie](http://www.vlaio.be/nl/subsidies-financiering/onderzoeksproject/voorwaarden-om-aanmerking-te-komen-voor-de-subsidie) (RIA-projects)

[www.vlaio.be/nl/subsidies-financiering/ontwikkelingsproject/wie-komt-aanmerking-en-onder-welke-voorwaarden](http://www.vlaio.be/nl/subsidies-financiering/ontwikkelingsproject/wie-komt-aanmerking-en-onder-welke-voorwaarden) (IA-projects)

Project qualification 'research' or 'development' will follow KDT call rationale (IA, RIA or additional calls).

In case of potential military applications (including dual use), funding can be restricted.



***For Brussels:***

Exploitation and valorisation conditions:

Brussels-based participants must demonstrate their capability to carry out the tasks assigned to them in the project, exploit the results of the latter and the project's likelihood to have a positive impact on the Brussels-Capital Region from a social, environmental and the regional ecosystem perspective 's (economy, employment, and/or sustainable development, inequalities, working conditions, well-being, ...).

In case of potential military applications (including dual use), funding can be restricted.

***For Wallonia:***

The participants must demonstrate their capability to carry out the tasks assigned to them in the project, exploit the results of the latter and have positive impacts on Wallonia from a socio-economic and sustainable development perspective.

**Projects must be targeted at civilian technologies, products, processes and services only.**

**5) Eligibility of costs*****For Flanders***

Eligibility of costs is in accordance with the ruling of the O&O bedrijfssteun of Flanders, detailed in the documents available on:

<https://www.vlaio.be/nl/subsidies-financiering/onderzoeksproject/welk-bedrag-kan-je-krijgen-de-subsidie-onderzoeksproject>

[www.vlaio.be/nl/subsidies-financiering/ontwikkelingsproject/financiele-steun-voor-een-ontwikkelingsproject](http://www.vlaio.be/nl/subsidies-financiering/ontwikkelingsproject/financiele-steun-voor-een-ontwikkelingsproject)

Eligible cost calculation will be done on the costs formulated in the KDT application. The cost model applicable is the KDT eligible cost system (Horizon Europe)

In case of stand-alone Strategic Research Centre projects, KDT eligible cost system (Horizon Europe) is applicable for both KDT and SOC funding.

***For Brussels***

For KDT projects, the Brussels-Capital Region will align on the JU and will therefore not apply additional rules, such as the regional rules applicable for individual RDI projects, on the eligibility of costs. The eligible costs will therefore be those retained by the JU for the European contributions in accordance with the Horizon Europe Rules for Participation.

***For Wallonia:***

The eligibility of costs is in accordance with the guidelines issued by the Public Service of Wallonia available on:

[Guide-des-dépenses-admissibles\\_aides.pdf](#)

**6) Funding rates*****For Flanders***



Type of Organisation Type of activity	Percentage of the national subsidy to the beneficiaries			
	Large Enterprises, Groups and Associations of Enterprises	Medium Enterprises	Small Enterprises	Public Research Institutes and Universities (2) (3)
Industrial/Applied Research projects	65%-JU	70%-JU	70%-JU	= JU (1:1 ratio)
Experimental development projects	40%-JU	50%-JU	60%-JU	= JU (1:1 ratio)

## Notes:

1. These percentages are maxima and given under the constraints that the project proposal fulfils the KDT eligibility criteria and that no participant in the KDT project holds more than 70% of the total (international) KDT project budget.
2. The funding of stand-alone Strategic Research Centre contributions is determined by specific project related agreement with VLAIO. These projects have no specific funding limit. The eligible costs for these projects may be set equal to the KDT eligible costs.
3. The funding of public research institutes and universities in projects initiated by enterprises in Belgium, is determined by the general principles of O&O-bedrijfsprojecten as published on the websites  
[www.vlaio.be/nl/subsidies-financiering/onderzoeksproject/wat-houdt-de-subsidie-onderzoeksproject](http://www.vlaio.be/nl/subsidies-financiering/onderzoeksproject/wat-houdt-de-subsidie-onderzoeksproject)  
[www.vlaio.be/nl/subsidies-financiering/ontwikkelingsproject/wat-is-een-ontwikkelingsproject](http://www.vlaio.be/nl/subsidies-financiering/ontwikkelingsproject/wat-is-een-ontwikkelingsproject)

In case of non-SOC RTO participation, the funding level of the participating (initiating) enterprise applies. The participating (initiating) enterprises are to cover the non-funded costs. Except for stand-alone Strategic Research Centre projects, funding is limited to € 3M per project. Total funding for FIO funded projects (non SOC) may be limited to € 4M. Funding to enterprises may be limited if combined R&D funding (national and Joint Undertaking) to an enterprise exceeds VLAIO applicable ruling, part of the extended eligibility criteria.

**For Brussels:**

Type of Organisation Type of activity	Percentage of the national subsidy to the beneficiaries			



	Large Enterprises, Groups and Associations of Enterprises	Medium Enterprises	Small Enterprises	Public Research Institutes and Universities
Industrial/Applied Research projects	65%-JU%	75%-JU%	80%-JU%	100%-JU%
Experimental development projects	40%-JU%	50%-JU%	60%-JU%	100%-JU%

Notes:

These percentages are maxima and given under the constraints that the project proposal fulfils the KDT eligibility criteria and that no participant in the KDT project holds more than 70% of the total (international) KDT project budget.

Project funding for Brussels may be limited to € 0,5M.

***For Wallonia:***

Type of Organisation  Type of activity	Percentage of the regional subsidy to the beneficiaries				
	Large Enterprises, Groups and Associations of Enterprises	Medium Enterprises	Small Enterprises	Universities	Accredited Research Centers
Industrial/Applied Research projects	65%-JU%	75%-JU%	80%-JU%	100%-JU%	75%-JU%
Experimental development projects	40%-JU%	50%-JU%	60%-JU%	100%-JU%	75%-JU%

Notes:

1. These percentages are maxima and given under the constraints that the project proposal fulfils the KDT eligibility criteria and that no participant in the KDT project holds more than 70% of the total (international) KDT project budget.
2. The proposed research activities will be qualified 'industrial research' or 'experimental development' according to the above-mentioned Walloon decree. The funding of Experimental Development projects might be carried out by means of recoverable advances ([Taux de financement des projets internationaux 2021.pdf](#) ).

**Additional Information to be provided at submission and other conditions**

***For Flanders:***

Additional information is mandatory as of the FPP-phase. Application according the KDT application form [www.vlaio.be/nl/media/739](http://www.vlaio.be/nl/media/739) is mandatory (endorsing the application compulsory by KDT FPP closing date). European application format is requested. Starting the application procedure (without endorsement) is recommended as of the KDT PO phase.

***For Brussels***

The submission of a Part C containing additional information is compulsory for all Brussels partners. The Part C template is available on the INNOVIRIS website <https://innoviris.brussels/> (get-funded/ Collaboration/ECSEL).

***For Wallonia:***

The submission of a Part C containing additional information is compulsory for all Walloon partners. The Part C template is available on the website ([www.recherche.wallonie.be](http://www.recherche.wallonie.be)).



## Czechia

### National contact person for Chips JU programme

Country	Name	First	Phone	email
Czechia	Vávra	Michal	+420 773 793 439	<a href="mailto:Michal.Vavra@msmt.cz">Michal.Vavra@msmt.cz</a>

*(Web site or any other information source of the national funding authority as a reference to the applicants.)*

**Ministry of Education, Youth and Sports** (<https://www.msmt.cz/vyzkum-a-vyvoj-2/spolecne-technologicke-iniciativy-5-1>)

#### 1. Legal requirements for the eligibility of a partner or a project

##### a) Type or nature of participants

Public universities, public research institutes, private research organisations and/or other legal entities that can be classified as **“research and knowledge-dissemination organisations”** (hereinafter referred to as the “research organisation”) in accordance with the [Commission Regulation \(EU\) No 2021/1237 of 23 July 2021](#) amending Regulation (EU) No 651/2014 declaring certain categories of aid compatible with the internal market in application of Articles 107 and 108 of the Treaty (Chapter I, Article 2, Paragraph 83).

**“Enterprises”** – Small, medium and/or large-sized enterprises as defined by the [Commission Regulation \(EU\) No 2021/1237 of 23 July 2021](#) amending Regulation (EU) No 651/2014 declaring certain categories of aid compatible with the internal market in application of Articles 107 and 108 of the Treaty (Chapter I, Article 2, Paragraphs 2 and 24), listed in Business Register of the Czech Republic and performing research, development and innovation in the Czech Republic.

##### b) Legal, administrative and financial conditions

Public funding of research, development and innovation in the Czech Republic is provided pursuant to the **Act No. 130/2002 Coll. on the Support of Research, Experimental Development and Innovation from Public Funds** and on the Amendment to Some Related Acts (hereinafter referred to as the “Act on the Support of Research, Experimental Development and Innovation”).

##### c) Consortium configuration



**The Czech fraction of a Chips JU project consortium must be configured from at least one enterprise registered in the Czech Republic and at least one research organisation,** both these entities fulfilling the requirements stipulated in the clause 1 “Type or nature of participants”, thus complying with the Public-Private-Partnership principle.

d) Other conditions

It is obligatory that a Czech participant involved in a Chips JU project consortium proves its compliance with the eligibility criteria and fulfilment of the conditions stipulated by § 18 of the Act on the Support of Research, Experimental Development and Innovation by the means of a **Statutory Declaration**. The required procedures are described and the Statutory Declaration template is available on the website <https://www.msmt.cz/vyzkum-a-vyvoj-2/spolecne-technologicke-iniciativy-5-1>.

Furthermore, applicants that seriously breached their obligations towards the MEYS, acting as the NFA, stemming from the applicable legislation or the Grant Agreement issued by the MEYS during validity of the Chips JU programme or any of its predecessors, shall be considered ineligible for the national funding.

## 2. Eligibility of the costs and funding

a) *Eligibility of costs*

**Eligible costs** for a Czech participant involved in a Chips JU project consortium are defined by:

Regulation (EU) 2021/695 of the European Parliament and of the Council of 28 April 2021 establishing Horizon Europe – the Framework Programme for Research and Innovation, laying down its rules for participation and dissemination, and repealing Regulations (EU) No 1290/2013 and (EU) No 1291/2013.

Regulation (EU, Euratom) 2018/1046 of the European Parliament and of the Council of 18 July 2018 on the financial rules applicable to the general budget of the Union, amending Regulations (EU) No 1296/2013, (EU) No 1301/2013, (EU) No 1303/2013, (EU) No 1304/2013, (EU) No 1309/2013, (EU) No 1316/2013, (EU) No 223/2014, (EU) No 283/2014, and Decision No 541/2014/EU and repealing Regulation (EU, Euratom) No 966/2012.

The **maximum indirect costs** are 25 % (flat rate) of the direct costs without the sub-contracting.

b) *National public funding rates*

**The MEYS consider the Research and Innovation Actions (RIA) being industrial research projects and the Innovation Actions (IA) being experimental development projects. Given these circumstances, the maximum**



**intensity of the MEYS aid will be derived from the Commission Regulation (EU) No 2021/1237 of 23 July 2021 amending Regulation (EU) No 651/2014 declaring certain categories of aid compatible with the internal market in application of Articles 107 and 108 of the Treaty (Chapter III, Section 4, Article 25, Paragraph 5).**

The maximum aid intensity for industrial research and experimental development will not be increased by the MEYS although the Czech participants in a Chips JU project consortium meet the conditions stipulated by the Commission Regulation (EU) 2021/1237 of 23 July 2021 amending Regulation (EU) No 651/2014 of 17 June 2014 declaring certain categories of aid compatible with the internal market in application of Articles 107 and 108 of the Treaty (Chapter III, Section 4, Article 25, Paragraph 6). The maximum aid intensities stipulated in the table below are definitive.

Type of action/Type of Beneficiary	Large enterprise	SME	Public Research Institutes and Universities
Research and Innovation action	50 % including EU contribution	70 % including EU contribution	100 % including EU contribution
Innovation Action	40 % including EU contribution	50 % including EU contribution	100 % including EU contribution

- c) Additional Information to be provided at submission and other conditions.

**The aid intensity for research and development activities carried out by the research organisation might be at the level of 100 % (EU and the Czech national contribution included)** only if the research organisation entirely complies with the requirements stipulated by the Article 2.1.1 “Public funding of non-economic activities” of State aid framework for research and development and innovation (2022/C 414/01) and proves it by the means of a **Statutory Declaration** submitted to the MEYS using the form available on website <https://www.msmt.cz/vyzkum-a-vyvoj-2/spolecne-technologicke-iniciativy-5-1>.

If a legal entity does not comply with all the requirements stipulated for the research organisation, it will be considered as an enterprise (small, medium or large) and the aid intensity will be then adjusted appropriately by the MEYS.

All the information concerning additional requirements stipulated by the MEYS for the Chips JU programme are available on website <https://www.msmt.cz/vyzkum-a-vyvoj-2/spolecne-technologicke-iniciativy-5-1>.



## Cyprus

**Total Budget for WP 2024 (ECS):** € 2.000.000

**Max. Funding Per Project:** € 500.000

**Funding Agency:** [Research and Innovation Foundation \(RIF\)](#)

### National Contact Points for KDT/Chips JU Programme

Country	Surname	Name	Email	Tel.
CYRPUS	Portokallides	Marinos	<a href="mailto:mportokallides@research.org.cy">mportokallides@research.org.cy</a>	+35722205052

### A. Specific Restrictions and Conditions for Participation

All general rules and procedures for the participation of organisations and individuals, the eligible activities and costs, as well as the specific information regarding the «Innovation Vouchers» Programme, as well as the other RESTART 2016-2020 Programmes, are included in the [RIF's Work Programme for the «RESTART 2016-2020» Programmes for Research, Technological Development and Innovation](#), which is the main reference document and an important information source for interested parties.

Furthermore, specific information for each Call can be found in the relevant National Call Documents:

- **EP/KDT-CHIPS-IA/0324**
- **EP/KDT-CHIPS-IA-FT/0324**
- **EP/KDT-CHIPS-RIA/0324**

#### 1) Beneficiaries

Host Organisation (of the Cypriot Consortium) could be an Enterprise, a Research Organisation or an Other Private or Public Organisation.

Research Organisations, Enterprises and Other Private or Public Organisations can participate as Partner Organisations (in the Cypriot Consortium).

Maximum number of organisations in the Cypriot Consortium should be between one to three (1-3).

Participation of Large Enterprises is only permitted when an SME is also participating in the Cypriot Consortium.

Participation of startups is not allowed except for those with marketable products/services, with a record for sales and turnover and audited financial statements for at least two (2) years.





Each Enterprise can receive funding from the RIF for a maximum of two (2) Projects in the frame of «European Partnerships – Key Digital Technologies» Programme during the 2021-2027 period.

For Innovation Actions:

- The participation of an SME in the Cypriot Consortium is obligatory.
- At least 30% of the Cypriot consortium's participants budget should be allocated to Enterprises.

## 2) National Application

The Coordinator of the Cypriot Consortium should also submit a Proposal on the RIF's IRIS Portal (<https://iris.research.org.cy>). The Project Coordinator and all local participating organizations of the Cypriot Consortium, should register in advance on the IRIS Portal.

Potential applicants are advised to read the «**Guide for Applicants**», which contains guidelines and clarifications regarding the Submission procedure and the «**IRIS Portal User Manual**» which can be found on the IRIS Portal (<https://iris.research.org.cy/#/documentlibrary>).

The Proposal submitted to the RIF includes only general information regarding the Transnational Proposal (Title, Acronym etc), the Coordinator of the Cypriot Consortium and the partner organisations of the Transnational Consortium (including the Cypriot organisations) as well as detailed budget for each partner participating in the Cypriot Consortium. The budget of each organization should be the same with the budget to be included in the Proposal submitted to the EU.

The Project Proposal consists of the following parts:

1. Part A – General Information & Budget (electronic form (fields) to be completed online through the IRIS Portal).
2. ANNEX II – Call Specific Information to be disclosed to the Evaluators – **Mandatory Submission** (document to be uploaded as an Annex on the IRIS Portal in PDF format and includes the «SMART SPECIALISATION SECTORS (S3Cy 2023-2030)» Table for the selection of the Priority Sector/ of the Smart Specialisation Strategy that the Proposal is applied to). *The relevant document is available in IRIS Portal, under the specific Call (Call Documents). The selection is obligatory and should be limited to only one Priority Sector.*
3. ANNEX III – Call Specific Information – **Mandatory Submission** (document to be uploaded as Annex on the IRIS Portal in PDF format): *Financial Statements: Audited Financial Statements of the Host Organisation for the previous financial year or the year preceding it, for the purposes of preliminary and financial viability check – Obligatory Submission. Organisations undergone a financial viability check by the RIF in the frame of previous contract preparation, with valid financial viability check results, are exempted.*

## Eligibility of Costs and Funding



National Calls will be co-financed by the Republic of Cyprus and the European Regional Development Fund (ERDF), in the frame of the Operational Programme «ΘΑΛΕΙΑ» 2021-2027 under Priority 1: «Competitive, Smart and Digital Economy» and the Specific Objective (1i): «Developing and enhancing research and innovation capacities and the uptake of advanced technologies».

### 1) Eligible Costs

Personnel costs, Instruments and Equipment Costs, Costs for External Services, Costs for Travelling Abroad, Consumables, Other Specific Costs, Overheads.

It is noted that, all beneficiaries that have not previously participated in the RESTART 2026-2020 Programmes, should make use of the simplified cost Method «Standard Scales of Unit Costs» for the calculation of personnel costs.

Eligible Costs are described in the [RIF's Work Programme for the «RESTART 2016-2020» Programmes for Research, Technological Development and Innovation.](#)

### 2) Funding Rates

	Small Enterprise	Medium Enterprise	Large Enterprise	«Research Organisations» and «Other Public and Broader Public Sector Organisations»
<b>HORIZON-Chips 2024-1-IA T1</b> <i>(Experimental Development Activities)</i>	30%	20%	20%	65%
<b>HORIZON- Chips 2024-1-IA T2</b> <b>HORIZON- Chips 2024-1-IA T3</b> <i>(Experimental Development Activities)</i>	30%	20%	15%	65%
<b>HORIZON- Chips 2024-2-RIA T1</b> <b>HORIZON- Chips 2024-2-RIA T2</b> <i>(Industrial Research Activities)</i>	45%	40%	40%	65%



## Denmark – Innovation Fund Denmark (IFD)

### National contact person for Chips JU program

Country	Last Name	First name	Telephone	E-mail
Denmark	G. Marques	Daniel	+45 61 90 50 06	daniel.g.marques@innofond.dk
	General contact		N/A	internationale@innofond.dk

Please find Innovation Fund Denmark's Guidelines for International Projects, templates for required documentation and additional supporting information [here \(full link below\)](https://innovationsfonden.dk/en/p/international-collaborations):

- <https://innovationsfonden.dk/en/p/international-collaborations>

### Legal requirements for the eligibility of a partner or a project

#### 1. Type or nature of participants

- All Danish organizations directly involved in activities in the projects are eligible as applicants to IFD.

#### 2. Legal, administrative and financial conditions

- Please refer to Innovation Fund Denmark's Guidelines for International Projects (link at the top).

#### 3. Consortium configuration

**No national requirements regarding consortium configuration. Innovation Fund Denmark encourages Danish applicants to maximize impact in Denmark, as well as cross-sectoral collaborations.**

#### 4. Other conditions

- Usually 2-4 weeks after the central submission deadline, Danish applicants will receive a direct invitation to upload the international project proposal to the national e-grant system.



- Further documentation will be requested to non-public organisations via e-grant. The templates for the required documentation can be found under [Documents](#) (link also at the top).
- Danish applicants are required to submit a consortium agreement signed by all project participants before the start of the project according to our Guidelines.

## **Eligibility of the costs and funding**

### **1. Eligibility of costs**

- The eligibility of costs is regulated by the Innovation Fund Denmark's Guidelines for International Projects.
  - Eligible costs:
    - Salaries;
    - Equipment (equipment, materials, etc.);
    - Other project-related costs (events, transportation, travel, audit costs, etc.);
    - External services (consultancy costs, subcontracting or services);
    - Overhead (if applicable, maximum overhead rates according to IFD's Guidelines, see also below).

## **Funding rates**

Both maximum funding amounts and maximum funding rates apply.

## **Maximum national funding amounts**

Maximum national funding of 650.000 EUR per project (if there is more than one Danish partner) and maximum 300.000 EUR per Danish partner. This is a higher maximum amount per project than the standard indicated in the Guidelines for International Projects. EU co-funding is not included in the maximum national funding amounts.

## **Maximum national funding rates**

The maximum national funding rates are regulated by the Guidelines for International projects.



Maximum national funding rates depend on the applicant's type of organisation. In addition, applicants may be eligible for EU co-funding according to the Chips JU criteria and maximum co-funding rates.

Maximum national funding rates are given in the table below in relation to the national eligible costs.

Maximum national funding rates <sup>25</sup>				
Call	Large Enterprise*	SME*	GTS and other Research Institutes	Universities and other public entities
IA Calls (Experimental Development)	15 % <sup>26</sup>	20 %	25 %	55 %
RIA Calls: Global RIA and RIA Focus Topic 2 (Industrial Research)	40 %	40 %	25 %	55 %

\*All organisations carrying out economic activities in the project are considered as enterprises.

National maximum funding rates are adjusted to Chips JU maximum EU co-funding rates according to state aid rules. The total maximum funding rates (national and EU co-funding) will as a consequence follow Innovation Fund Denmark's standard maximum funding rates according to the Guidelines for International Projects<sup>27</sup>.

### Rates for indirect costs (overhead)

Applicable overhead rates according to the Guidelines for International Projects. Costs with external services are not eligible for overhead.

<sup>25</sup> Contact IFD for overhead rates for GTS Institutes and maximum national funding rates for Approved Danish National Cluster Organisations and organisations with the special status of research and knowledge dissemination institutions, as defined in '[Vejledning om definitionen af en forsknings- og videnformidlingsinstitution](#)', published (in Danish) by the Ministry of Food, Agriculture and Fisheries of Denmark, Danish Agricultural Agency Oct. 2, 2020.

<sup>26</sup> For Global IA Call (HORIZON-Chips 2024-1-IA T1) the maximum national funding rate is 20% for large enterprises (Experimental Development).

<sup>27</sup> National funding will be subject to conditions in current state aid rules (Commission Regulation (EU) No 651/2014). If other public funding, besides the EU funding, will be granted for the project, the listed maximum rates for national funding will be reduced if required to ensure that aid intensity limits in the state aid rules are respected. Beneficiaries must submit declarations regarding company size and financial situation.



Rates for indirect costs (overhead) <sup>1</sup>			
Universities and public research institutions	Public Hospitals	Approved Danish National Cluster Organisations	Others
44 %	3,1 %	20 %	0 %



## Estonia

### National contact person for Chips JU programme

Country	Name	First	Phone	email
Estonia	Vedina	Rebekka	+372 56976673	<a href="mailto:rebekka.vedina@etag.ee">rebekka.vedina@etag.ee</a>
	Suuroja	Margit	+372 731 7360	<a href="mailto:margit.suuroja@etag.ee">margit.suuroja@etag.ee</a>

**Estonian Research Council** [www.etag.ee](http://www.etag.ee)

The full version of the national eligibility criteria can be found at: [Lisa\\_Vastavusnõuded RV ühiskonkurssidel \(etag.ee\)](http://Lisa_Vastavusnõuded_RV_ühiskonkurssidel.etag.ee)

#### 1. Legal requirements for the eligibility of a partner or a project

##### a) Type or nature of participants

**The Host Institution** could be any legal entity that is registered and located in Estonia and has an Estonian bank account.

The Host Institution (the final recipient) is the institution to which the grant will be allocated.

**The Principal Investigator** is a researcher who acts as the Estonian team leader in the project proposal. The Principal Investigator will be responsible for how the grant is used and how the Estonian part in the project is executed.

##### b) Legal, administrative and financial conditions

##### **The Host Institution:**

After the submission deadline (in case of two-stage application, after the preproposal deadline) and upon the notice from the Estonian Research Council, the Host Institution must confirm to the Council in the written form that the project can be carried out on their premises in Estonia and that they will employ the Principal Investigator during the proposed project, should the project receive funding.

If the Host Institution is a for-profit institution, the State aid and de minimis aid regulations must be taken into account. For details on State aid and de minimis aid please see the full version of the national eligibility criteria at [Lisa\\_Vastavusnõuded RV ühiskonkurssidel \(etag.ee\)](http://Lisa_Vastavusnõuded_RV_ühiskonkurssidel.etag.ee)

If the State aid or de minimis aid regulations apply, the funding will not be granted to a Host Institution who has been subject to a funding withdrawal decision pursuant to a previous European Commission decision that deemed the aid illegal and incompatible with the common market, if that decision has not been complied with.

In case of a positive financing decision the Host Institution and the Estonian Research Council will enter into a bilateral agreement. Information on the transnational project must be entered into ETIS once the agreement has been signed.

**The Principal Investigator:**

- must have an updated public profile in the Estonian Research Information System (ETIS) by the submission deadline;
- must hold a doctoral degree or an equivalent qualification. The degree must be awarded by the submission deadline of the grant application;
- must have published at least three articles that comply with the requirements of Clause 1.1 of the ETIS classification of publications, or at least five articles that comply with the requirements of Clauses 1.1, 1.2, 2.1 or 3.1, within the last five calendar years prior to the proposal submission deadline. International patents are equalled with publications specified under Clause 1.1. A monograph (ETIS Clause 2.1) is equalled with three publications specified in Clause 1.1 if the number of authors is three or fewer.

If the Principal Investigator has received the PhD degree outside Estonia, its correspondence to an Estonian doctoral degree must be recognised by either the Estonian ENIC-NARIC Center or the Host Institution in accordance with the Regulation of the Government of the Republic of April 6, 2006, No. 89 "Evaluation and academic recognition of documents proving foreign education and the name of the qualification awarded in the foreign education system terms and conditions of use". The Estonian Research Council may ask for a relevant Evaluation Report<sup>28</sup>.

If several Estonian institutions participate in a proposal, all institutions must have a Principal Investigator who meets the national eligibility criteria.

**c) Consortium configuration**

Each partner in a funded project will be funded by their national Funding Organisation. It is mandatory for all Estonian applicants to follow the national eligibility criteria. Please note that if one of the partners is not eligible, the entire proposal might be considered ineligible.

The Consortium Agreement should be signed at the latest six months after the grant agreement has been signed. If one year has elapsed and the CA has not been signed, the next instalment of funding will not be paid out.

**d) Other conditions**

If human research or animal testing are intended in the project, a positive resolution by the Human Research Ethics Committee or the Authorisation Committee for Animal Experiments must be submitted to the Estonian Research Council by the start of the relevant activities.

By applying for funding by the Estonian Research Council, the applicants agree to consider the relevance of the Nagoya protocol for their research, and to submit the Due Diligence Declaration, if applicable.

Following the restrictions laid down in Article 7 of the Regulation of the European Parliament and of the Council No 2021/1058 of 24 June 2021 on the European Regional Development Fund and on the Cohesion Fund<sup>29</sup> research and other activities related to fossil

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<sup>28</sup> The required documents and procedure, including the application form can be found on the web page <https://www.harno.ee/en/enicnaric>. The evaluation period can take up to 30 days.

<sup>29</sup> <https://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX:32021R1058>





fuels and their use, as well as other activities not eligible as per Article 7 of the Regulation, cannot be funded from the European Regional Development Fund (Mobilitas 3.0) resources.

## 2. Eligibility of the costs and funding

### i. Eligibility of costs

Research expenses consist of direct costs (personnel costs, travel costs and other direct costs) and subcontracting costs. The research expenses must be used to carry out the project and be separately identifiable.

#### Direct costs

1. Personnel costs are monthly salaries with social security charges and all the other statutory costs of the project participants, calculated according to their commitment and in proportion to their total workload at their Host Institution.

2. Travel costs may cover expenses for transport, accommodation, daily allowances and travel insurance only for travels abroad.

3. Other direct costs are:

- consumables and minor equipment related to the project;
- publication and dissemination of project results;
- organising meetings, seminars or conferences (room rent, catering);
- fees for participating in scientific forums, conferences and other events related to the project;
- patent costs;
- all other costs that are identifiable as clearly required for carrying out the project (e.g. translation, copy editing, webpage hosting, etc.) and comply with the eligible costs.

Subcontracting costs should cover only the additional or complementary research related tasks (e.g. analyses, conducting surveys, building a prototype, etc.) performed by third parties. Subcontracting costs should not be included in the overhead calculation. The activities and budget should be described in the proposal. Core project tasks should not be subcontracted. Subcontracting costs may not exceed 15% of the total costs.

**Indirect costs (overhead)** may not exceed **15% of the personnel costs** and should cover the general expenses of the Host Institution. Costs for equipment and services intended for public use (a copy machine or a printer that is publicly used, phone bills, copy service, etc.) should be covered from the overhead.

Double funding of activities is not acceptable.

If several Estonian institutions participate in one proposal, the sum of their requested budgets may not exceed the maximum contribution of the respective national Funding Organisation indicated in the call documents.

### ii. National public funding rates

Type of action/Type of Beneficiary	Large enterprise	SME	Public Research Institutes and Universities
Research and Innovation action	yes	yes	yes



Innovation Action	yes	Yes	yes
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Estonian Research Council funds a successful participant with up to 300 000 EUR for consortium coordinator or up to 150 000 EUR for consortium partner. The total funding for ECS calls 2024 is 300 000 EUR.



## Finland

### National contact person for Chips JU programme

Country	Name	First	Phone	email
Finland	Ahola	Kimmo	+358 50 5577 756	<a href="mailto:kimmo.ahola@businessfinland.fi">kimmo.ahola@businessfinland.fi</a>
	Leino	Kari	+358 50 5577 698	<a href="mailto:kari.leino@businessfinland.fi">kari.leino@businessfinland.fi</a>

### Finland's national public funding authority is Innovation Funding Agency Business Finland.

Business Finland funding principles can be found at:

[www.businessfinland.fi/en](http://www.businessfinland.fi/en) (English)

[www.businessfinland.fi](http://www.businessfinland.fi) (Finnish)

#### 1. Legal requirements for the eligibility of a partner or a project

##### a) Type or nature of participants

- Companies (enterprises)
- Industry associations
- Universities and polytechnics
- Public research institutes and similar research organizations.

##### b) Legal, administrative and financial conditions

- A company has considerable industrial or R&D&I activities in Finland.
- A company has a clear financial record and has the financial capability to cover its own expenses during the project (e.g., the company must show positive equity at decision taking).

##### c) Consortium configuration

- Research and Innovation Actions (RIA) projects: A public research institute, university or a polytechnic shall be accompanied in the project by at least three companies (Partner or Associated) in Finland. The project volume (costs) of public research institutes, universities and polytechnics from Finland combined shall not exceed 70 % of the total volume (costs) of Finnish participants based on national (Business Finland) funding rules.
- Innovation Action (IA) projects: A public research institute, university or a polytechnic shall be accompanied in the project by at least two eligible (Partner) companies in Finland. The project volume (costs) of public research institutes, universities and polytechnics from



Finland combined shall not exceed 30 % of the total volume (costs) of Finnish participants based on national (Business Finland) funding rules.

d) Other conditions

- The project participation must aim for significant business and export growth as well as have sufficient positive impact on the Finnish economy or society.
- Priority is given to the topics that are not covered by already funded projects.
- Priority is given to projects that implement strong cross-border industrial cooperation.

## 2. Eligibility of the costs and funding

a) *Eligibility of costs*

- Eligibility of the costs is in accordance with the national (Business Finland) funding rules.

b) *National public funding rates*

Type of action/Type of Beneficiary	Large enterprise	SME	Public Research Institutes and Universities
Research and Innovation action	20 % grant	35 % grant	38 % grant
Innovation Action	20 % grant or Max. 50 % loan	35 % grant or Max. 50 % loan	38 % grant

c) *Additional Information to be provided at submission and other conditions.*

**Every participant from Finland must submit a separate Business Finland funding application within 14 days of the call Full Proposal closure date.**



## Greece

**GSRI – General Secretariat for Research and Innovation,  
Ministry for Development**

### National contact person for CHIPS JU programme

Country	Name	First name	Tel	E-mail
Greece	KOTSIAS	Michael	+30 2131300102	<a href="mailto:m.kotsias@gsrt.gr">m.kotsias@gsrt.gr</a>
Greece	KARAIKOU	Elisavet	+30 2131300098	<a href="mailto:e.karaiskou@gsrt.gr">e.karaiskou@gsrt.gr</a>

**National Funding Agency for Greece:** General Secretariat for Research and Innovation (GSRI), Ministry for Development and Investments ([www.gsri.gov.gr](http://www.gsri.gov.gr))

**The following conditions are provisional and without prejudice to the application of legal rules (legally binding documents), currently under preparation, concerning the terms and procedures for the management of the action at national level.**

### A. Legal requirements for the eligibility of a partner or a project Type or nature of participants

GSRI potentially supports all private and public legal entities legally operating in Greece (not natural persons) namely:

- Research and knowledge-dissemination organizations (e.g. Higher-education Institutions or Research Centers/Institutes).
- Undertakings (a private and/or public sector unit, regardless of its legal status or size, engaged in economic activity) .
- Other entities that will be considered as Research and knowledge-dissemination organizations, if respective requirements are met, or undertakings .

Besides natural persons, the following categories of undertakings are also not eligible:

- An “undertaking in difficulty” (according to art.2 of Reg. (EU) 651/2014\*).
- An undertaking which is subject to an outstanding recovery order following a previous Commission decision declaring an aid illegal and incompatible with the internal market.



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\* Reg. (EU)651/2014 as amended by Reg.(EU) 2021/1237 & Reg.(EU) 2023/1315.



## Legal, administrative and financial conditions

### *Eligible activities*

- All funded activities must comply with the National RIS 3 ( <https://gsri.gov.gr/ethniki-stratigiki-exypnis-exeidikefsis-2021-2027/> , <https://www.espa.gr/el/Pages/RIS3.aspx> ).
- In case of participants falling under category (b) the main part of the project should fall within the categories of industrial research or experimental development or feasibility studies (according to the provisions of art 25 of Reg. EU 651/2014\*). For SMEs funding for innovation activities (art. 28 of Reg. EU 651/2014\*) may also be provided.

### **Consortium configuration:**

No restrictions.

### **Other conditions**

All applications should be accompanied by all elements and relevant documents that allow the Greek authorities to assess the eligibility criteria and in particular those with regard to article 2 of GBER Regulation, 651/2014 for undertakings in difficulty and the size of undertakings/enterprises.

Companies (business partner in the project) must provide specific information on the possible industrial and commercial impact of the project to the country and in the Europe and justify that they have the necessary means to exploit the project results.

Following the final approval of the list of beneficiaries by the CHIPS JU, a national call will be published by GSRI. At national level, only legal and financial eligibility check is conducted and not a full peer review

## **Eligibility of the costs and funding**

### **1) Double funding**

The project submitted for funding must neither have already benefited from public funding nor be redundant or overlap with projects or part of projects already funded.



## 2) Co-founding source

National Strategic Reference Framework -NSRF 2021-2027.

## 3) *Funding rates- Aid intensity*

**Public Research Institutes and Universities:** the aid intensity can reach 100% for performing non-economic activities (less the contribution of the JU) in accordance with point 19, article

2.1.1 of the «Framework for State aid for research and development and innovation» (2014/C 198/01).

**Private Sector:** (a) 50% of the eligible costs for industrial research; (b) 25% of the eligible costs for experimental development; (c) 50% of the eligible costs for feasibility studies.

The aid intensities for industrial research and experimental development may be increased up to a maximum aid intensity of 80 % of the eligible costs in accordance with points (a) to (d), where points (b), (c) and (d) must not be combined with each other:

(a) by 10 percentage points for medium-sized enterprises and by 20 percentage point for small enterprises;

(b) by 15 percentage points if one of the following conditions is fulfilled:

(i) the project involves effective collaboration:

—between undertakings among which at least one is an SME, or is carried out in at least two Member States, or in a Member State and in a Contracting Party of the EEA Agreement, and no single undertaking bears more than 70 % of the eligible costs, or

—between an undertaking and one or more research and knowledge-dissemination organisations, where the latter bear at least 10 % of the eligible costs and have the right to publish their own research results;

(ii) the results of the project are widely disseminated through conferences, publication, open access repositories, or free or open source software;

(iii) the beneficiary commits to, on a timely basis, make available licences for research results of aided research and development projects, which are protected by intellectual property rights, at a market price and on non-exclusive and non-discriminatory basis for use by interested parties in the EEA;

(iv) the research and development project is carried out in an assisted region fulfilling the conditions of Article 107(3), point (a), of the Treaty;

(c) by 5 percentage points if the research and development project is carried out in an assisted region fulfilling the conditions of Article 107(3), point (c), of the Treaty;

(d) by 25 percentage points if the research and development project:

(i) has been selected by a Member State following an open call to form part of a project jointly designed by at least three Member States or contracting parties to the EEA Agreement; and





(ii) involves effective collaboration between undertakings in at least two Member States or contracting parties to the EEA Agreement when the beneficiary is a SME, or in at least three Member States or contracting parties to the EEA Agreement when the beneficiary is a large enterprise; and

(iii) if at least one the two following conditions is fulfilled:

—the results of the research and development project are widely disseminated in at least three Member States or contracting parties to the EEA Agreement through conferences, publication, open access repositories, or free or open source software; or

—the beneficiary commits to, on a timely basis, make available licences for research results of aided research and development projects, which are protected by intellectual property rights, at a market price and on non-exclusive and non-discriminatory basis for use by interested parties in the EEA.”

-The aid intensity for feasibility studies may be increased by 10 percentage points for medium-sized enterprises and by 20 percentage points for small enterprises.

Maximum aid intensity for undertakings is calculated according to paragraphs 5,6,7 of article 25 and art. 28 of Reg. (EU) 651/2014\* (table 1).

**Table 1: Maximum funding intensity % (less the contribution of the JU):**

	<b>Industrial/Applied Research</b>	<b>Experimental development/innovation</b>
<b>Large Enterprises</b>	50-75% (-JU%)	25-50% (-JU%)
<b>Medium Enterprises</b>	60-80% (-JU%)	35-60% (-JU%)
<b>Small Enterprises</b>	70-80% (-JU%)	45-70% (-JU%)
<b>Public Research Institutes and Universities</b>	100% (-JU%)	100% (-JU%)

#### **4) VAT eligibility**

Only non-reclaimable VAT is eligible.



## Ireland

### National contact person for Chips JU programme

Country	Name	First name	Tel	E-mail
Ireland	O'Reilly	Stephen	+353 21 4800217	stephen.oreilly@enterpriseireland.com

### Legal requirements for the eligibility of a partner or a project

#### 1) Type or nature of participants

Companies that are eligible to receive R&D funding from one of the following agencies will be considered for funding; Enterprise Ireland, IDA Ireland or Údarás na Gaeltachta.

Irish third level research performing organisations will also be considered for national funding. It is important to note that a successful application to Chips JU does not guarantee funding by a national agency. Participants from Ireland are strongly advised to discuss applications with their national agency contact prior to submission to Chips JU.

#### 2) Legal, administrative and financial conditions

The relevant national funding agency should be satisfied that a company seeking national funding has the potential to derive a benefit, proportionate to the national funding being sought, through the exploitation of the results of the proposed project or otherwise. Companies applying for National R&D support will need to be EBITDA positive for 9 consecutive months prior to any approval. Companies that are classified as 'High Potential Start-Up' (HPSU) are not normally eligible.

All participants are advised to contact the relevant national funding agency before committing to participate in any proposal.

Higher Education Institutions will be eligible only if there is also at least one Irish based company that meets the national eligibility criteria in the consortium, and the national funding agencies are satisfied that there will be a benefit from the participation of the Higher Education Institution, proportionate to the funding being sought, for an Irish based company or companies that the agencies are satisfied to support.

#### 3) Consortium configuration

Projects should be introduced by companies and primarily executed to the benefit of these entities.

### Eligibility of the costs and funding

#### 1) Eligibility of costs

All costs should be discussed in advance with the relevant funding agency contact

#### 2) Funding rates



All Grant funding to companies is provided on a scale, depending on company size and can reach a max of 50% of eligible expenditure for Enterprise Ireland and Údarás na Gaeltachta clients. Funding available to IDA Ireland clients can vary and exact levels should be confirmed with the agency contact.

Funding to 3rd level institutions is provided as a grant of 100% eligible expenditure less JU contribution up to the maximum of €250,000 (excluding overhead).

### **Additional Information to be provided at submission and other conditions**

Please note that each Irish participant must create a PDF file indicating how they meet the national eligibility criteria for funding as indicated in the Irish section of the Eligibility Criteria document published in the Call. You must upload this in the Chips JU Proposal Submission system as Part C of the Proposal (one file for each participant).

Note that Irish companies must clearly state in the document the following points:

1. From which of the three Irish agencies (Enterprise Ireland, IDA Ireland or Udaras na Gaeltachta) it is eligible to receive national R&D funding
2. Explain how it has the potential to derive a benefit, proportionate to the national funding being sought, through the exploitation of the results of the proposed project or otherwise.

Higher Education Institutions must clearly demonstrate how they are being of benefit to the Irish based company or companies that are being supported.



## France

### National contact person for Chips JU program

Country	Name	First name	Tel	E-mail
France	RITOU	Arnaud	+33 1 53 18 36 16	<a href="mailto:arnaud.ritou@finances.gouv.fr">arnaud.ritou@finances.gouv.fr</a>
	BUIS	Jean-Noël	+33 1 53 18 35 84	<a href="mailto:jean-noel.buis@finances.gouv.fr">jean-noel.buis@finances.gouv.fr</a>

Website reference: <https://www.entreprises.gouv.fr/fr/numerique/enjeux/soutien-la-nanoelectronique>

### Exigences légales pour l'éligibilité d'un partenaire ou d'un projet.

*The items published in French in the following text are the official national eligibility criteria for funding. The following items published in English are a translation. The text in French takes precedence over the text in English.*

La contribution indicative inscrite dans ce programme de travail, dans la partie *National Budgets for the call 2024*, n'est pas un budget à allouer aux candidats français à des appels à projets Chips JU, mais une estimation des aides pouvant être obtenues par les candidats français à travers les mécanismes de financements décrits ci-dessous.

**Les porteurs français d'une proposition de projet pour un appel à projets Chips JU en 2024 doivent, pour être éligibles, avoir été retenus pour ce projet par un mécanisme de financement national, avant la sélection des projets Chips JU par le comité des autorités publiques de l'entreprise commune :**

- au titre du **volet français** du PIEEC électronique et connectivité, s'ils en sont bénéficiaires et dans les conditions prévues par le programme ;
- au titre d'un appel à projets national ou régional, en respectant les conditions spécifiques à cet appel.

**Les partenaires doivent impérativement contacter les correspondants nationaux indiqués au début de cette annexe avant le dépôt de la « Project Outline » (pour les appels en deux phases) ou de la proposition finale (pour les appels en une seule phase).**

**L'objectif de ce contact est d'orienter le demandeur vers le guichet le plus adapté, de préparer l'examen des critères d'éligibilité nationaux, et pour les chefs de file du PIEEC électronique et connectivité, de préciser les démarches à mener afin de déterminer la conformité du projet avec les axes stratégiques du programme.**

#### **I. Financement dans le cadre du volet français du PIEEC électronique et connectivité**

Les critères suivants ne s'appliquent qu'aux porteurs de projets retenus au titre du programme national (sans nom à date) dans le cadre du volet français du PIEEC électronique et connectivité et ne préjugent pas de l'application des règles légales et réglementaires en vigueur concernant l'attribution de subventions par l'État français.



Pour les partenaires ayant déposé une demande de financement au titre d'un appel à projets national ou régional, ils doivent se référer au cahier des charges de l'appel à projets en question.

**1) Type ou nature des participants**

- Entreprises privées ou publiques de toutes tailles
- Universités
- Instituts de recherche

**2) Conditions légales, administratives et financières**

Les travaux ne doivent pas déjà avoir fait l'objet d'un soutien public (hors mesures fiscales génériques) ni être en redondance avec des travaux similaires financés par les autorités françaises, ni avoir été engagés avant la date de début du projet indiqué dans la « *Full Project Proposal* »

La situation financière de chaque partenaire privé doit être validée (structure financière, flux de trésorerie, compte d'exploitation) et jugée compatible (volume d'activité, moyens humains, moyens financiers) avec le montant et le contenu de l'assiette des dépenses ainsi qu'avec le montant de l'aide sollicitée et des aides publiques déjà accordées par ailleurs.

**3) Cohérence avec le PIIEC électronique et connectivité et le plan France 2030**

Les porteurs de projets doivent s'intégrer dans les objectifs globaux du PIIEC électronique et connectivité, et contribuer à lever un ou plusieurs verrous technologiques significatifs en vue de concevoir ou d'améliorer des produits, services ou procédés, ainsi que mettre en place les moyens de réalisation de ces produits et procédés. Ceux-ci doivent présenter pour eux des perspectives suffisantes de retombées sur le territoire de l'Union européenne, et notamment en France, en termes d'emplois, de compétitivité, de création de valeur et d'activité économique à court ou moyen terme.

Les propositions doivent comporter la participation d'au moins un chef de file français du PIIEC électronique et connectivité. Néanmoins, la coordination et le dépôt de la proposition peuvent être confiés à un autre partenaire du consortium.

Les travaux réalisés par les porteurs doivent être bien spécifiés et pouvoir être considérés comme « développement expérimental » ou « recherche industrielle » au sens de l'encadrement des aides d'Etat à la RDI. Conformément à ce régime d'aide, l'aide à chaque entreprise doit avoir un effet d'incitation sur ses activités de RDI.

Les partenaires doivent remplir les conditions d'éligibilité propres aux partenaires du PIIEC électronique et connectivité :

- déposer un dossier complet, au format imposé, sous forme électronique via la plateforme de Bpifrance,
- dont les modalités d'accès seront précisés par le contact national indiqué en début de cette annexe ;
- Pour les partenaires du projet qui ne sont pas chefs de file du PIIEC électronique et connectivité, remplir les conditions relatives aux montants de dépenses en ressources humaines et « emplois nouveaux » :



- Les partenaires devront présenter un niveau de dépenses en RH équivalent au moins à 40% du total des dépenses éligibles.
- Parmi ces 40%, il est attendu que des emplois nouveaux (CDI, CDD, contrat d'apprentissages, ...) représentent un minimum de 20% des dépenses éligibles du projet.

Intégrant les priorités de France 2030, l'aspect « émergent » ou « en développement » est un point particulier de sélection des partenaires de projets dans la mesure où France 2030 vise à faire émerger de nouveaux acteurs économiques.

Ainsi, les projets intégrant des acteurs tels que des entreprises de moins de 12 ans ou des PME/ETI opérant un pivot stratégique radical, les amenant à développer de nouveaux produits très innovants en rupture ou qui concernent des marchés émergents, ou en très forte croissance, ou procédant à des opérations de *build-up* avec des entreprises de moins de 3 ans ou encore en consortium de R&D collaborative avec des start-ups seront privilégiés.

#### 4) Coûts éligibles

Les coûts éligibles français seront basés sur le montant obtenu en remplissant les annexes financières disponible sur la plateforme de Bpifrance, pour chaque partenaire français.

#### 5) Taux de soutien

Type d'entreprise Type de recherche	Grande entreprise (GE et ETI)	PME	Organisme de recherche en <u>coûts</u> <u>marginiaux</u>
<b>Research and Innovative Action (RIA) &amp; Innovative Action (IA)</b>	20 %	30 %	100 % moins aide demandée à la JU

#### 6) Informations nécessaires à la soumission

Pour les porteurs éligibles au programme national (sans nom à date) dans le cadre du volet français PIEEC électronique et connectivité, et en complément du dossier de soumission du projet, transmis à l'entreprise commune, le responsable français de chaque projet doit adresser aux autorités françaises, un dossier sur la plateforme de Bpifrance consacrée dont le contact national lui précisera les modalités d'accès.

Le dossier soumis doit **présenter les éléments permettant aux autorités françaises d'apprécier et de justifier l'admissibilité de l'aide** demandée par le porteur et ses partenaires. En particulier, le dossier doit comprendre, outre les documents requis au titre de l'appel à projets de l'entreprise commune, les documents spécifiés sur la plateforme mentionnée précédemment.

## II. Financement dans le cadre d'appels à projets nationaux ou régionaux

Les partenaires ayant déposé une demande de financement au titre d'un appel à projets national ou régional, doivent se référer au cahier des charges des dispositifs en question pour connaître leurs critères d'éligibilité et conditions de financements.



Des documents supplémentaires pourront être demandés, dans les conditions desdits appels à projets afin de permettre aux autorités décidant de l'octroi de l'aide, d'apprécier et de justifier l'admissibilité de l'aide demandée par le porteur et ses partenaires.

Les taux d'aide dépendront des conditions propres aux dispositifs dont les financements seront issus, et à la prise en compte par ces dispositifs de l'existence d'un cofinancement européen.

### ***1) Précisions relatives aux dispositifs s'inscrivant dans le cadre de France 2030***

Suivant les priorités du plan France 2030, l'aspect « émergent » ou « en développement » est un point particulier de sélection des partenaires, dans la mesure où France 2030 vise à faire émerger de nouveaux acteurs économiques.

Ainsi, les projets intégrant des acteurs tels que des entreprises de moins de 12 ans ou des PME/ETI opérant un pivot stratégique radical, les amenant à développer de nouveaux produits très innovants en rupture ou qui concernent des marchés émergents, ou en très forte croissance, ou procédant à des opérations de *build-up* avec des entreprises de moins de 3 ans ou encore en consortium de R&D collaborative avec des start-ups seront privilégiés.

Est notamment concerné, l'appel à projet I-Démo Europe.

À titre d'information, pour les projets dont le financement national serait obtenu au titre de l'appel à projets « I-Démo Europe », les taux prévus sont les suivants :

Type d'entreprise Type de recherche	Grande entreprise (GE et ETI)	PME	Organisme de recherche (coûts complets)	Organisme de recherche (coûts marginaux)
<b>Research and Innovative Action (RIA)</b>	25 %	35 %	25%	65%
<b>Innovative Action (IA)</b>	20 %	30 %		

**Legal requirements for the eligibility of a partner or a project**

*The items published in French in the following text are the official national eligibility criteria for funding. The items published in English are a translation. The text in French takes precedence over the text in English.*

The indicative commitment previously indicated in this work programme, in the subpart *National Budgets for the call 2024*, is not a budget to allocate to French applicants to Chips JU calls, but an estimation of the ability of French partners to obtain national funding through mechanisms described below.

Consequently, **the French applicants of a Chips JU 2024 project proposal must, to be eligible, have been selected for this project to a national funding schemes, before the selection of Chips JU projects made by the Public Authorities Board of the JU:**

- through national program such as the French framework (successor of Nano 2022, not yet named) in the context of the upcoming IPCEI Microelectronics and Communication Technologies, if they are beneficiaries of it, and under the conditions of the program:.
- through a national or regional call, with respect to the specific conditions of this call.

**Partners must contact the national correspondents before the Project Outline submission (for 2-stage calls) or before the final proposal submission (for single stage calls).**

**The aim of this contact is to direct the requestor to the most relevant financing mechanism, to prepare the national eligibility criteria examination, and for the French direct partner of the IPCEI ME-CT, to precise procedures to check the conformity of the project with the strategic lines of the program.**

**I. Funding through the French framework of the upcoming IPCEI Microelectronics and Communication Technologies**

The following criteria are valid only for the applicants selected through the French framework (not yet named) in the context of the upcoming IPCEI Microelectronic and Communication Technologies and are without prejudice to the application of legal rules and regulations concerning the allocation of public funding by the French State.

For partners who have submitted an application for funding under a national or regional call for projects, they must refer to the terms of reference of this call.

**1) Type or nature of participants**

- Private and public companies of all sizes
- Universities
- Research Institutes

**2) Legal, administrative and financial conditions**

The work to be done by the partners must neither have already benefited from public funding (excluding generic fiscal aid) nor be redundant with similar projects already funded by French authorities, nor engaged before the start date of the project indicated in the Full Project Proposal





The financial situation of each private partner must be validated (financial structure, cash flow, operating accounts) and considered compatible (activity volume, workforce, financial capability) with the amount and the content of the eligible costs as well as with the amount of the demanded aid and of the already granted public aid.

### ***3) Coherence with the IPCEI Microelectronics and Communication Technologies and the French investment plan FRANCE 2030***

The applicants must contribute to the global objectives of the IPCEI Microelectronics and Communication Technologies and achieve one or several significant technological breakthroughs with the objective of designing or improving products, services or processes, and must set-up a capability to make these products or processes. These ones must have a sufficient potential impact on their activity in the European Union and in particular in France, in terms of employment, competitiveness, value creation and growth at short or medium-term.

The proposals shall include the participation of at least one direct partner of the IPCEI Microelectronics/Connectivity. Nevertheless, the coordination and the submission of the national proposal can be entrusted to another partner of the consortium.

The tasks assigned to applicants must be well specified and should consist in « experimental development » or « industrial research » as defined in the R&D&I framework. In accordance with the R&D&I framework, the aid to each company must have an incentive effect on its R&D&I activities.

Partners of the project have to fulfil the proper eligibility criteria of French partners of the IPCEI Microelectronics and Communication Technologies:

- Submit a complete file, in the required format, in electronic form via the Bpifrance platform. The terms of access to this platform will be provided by the national contact indicated in the beginning of this annex;
- For partners not being direct partners of the upcoming IPCEI ME/CT, fulfil conditions regarding the amount of expenses on human resources and “new jobs”:
  - Partners must present a level of human resources expenditures equivalent to at least 40% of the total eligible costs of the project.
  - Among these 40%, it is expected that new jobs (permanent, temporary, apprentices, etc.) represent at least 20% of the eligible costs of the project.

Following France 2030 support plan’s priorities, the “emerging” or “developing” aspect of the project’s partners is a key point of selectivity of the projects, France 2030 aiming at fostering new/emerging economic actors.

Project integrating companies less than 12 years old or project integrating companies operating a significant market or strategic reorientation towards new particularly innovative products or towards emerging markets, or experiencing an intense growth, or conducting external growth acquiring companies not older than 3 years on the relevant market or in a research and development consortium with start-ups, will be prioritized.



#### 4) Eligibility of costs

The French eligible costs will be based on the amount obtained using the financial data sheets that can be found on the Bpifrance online platform, for each French partner.

#### 5) Funding rates

Type of beneficiary Type de project	Large enterprises	SMEs	RTOs (Incremental costs)
Research and Innovative Action (RIA) & Innovative Action (IA)	20 %	30 %	100 % minus aid requested to the JU

#### 6) Additional information to be provided at submission

Applicants eligible to the French framework (not named yet) in the context of the upcoming IPCEI Microelectronic and Communication Technologies and in parallel to the documents sent to the Chips JU, the French leader of each submitted project will have to send to the French public authorities a set of documents through the dedicated platform of Bpifrance. The French national contact will precise the terms of access of this platform to the French leader of the project.

The application submitted must **contain all elements which will allow French authorities to assess and justify the eligibility of the aid** asked by the applicants. In particular, the application must include, besides the documents required for application to the Joint Undertaking call, all documents listed on the dedicated platform of Bpifrance previously mentioned.

## II. Funding through national or regional calls

Partners who have submitted an application for funding under a national or regional call for projects must refer to the terms of reference of this call to know their eligibility criteria and conditions for funding.

Additional documents may be asked, as per the conditions of the relevant program, in order to allow decisional bodies to assess and justify the eligibility of the aid asked by the applicants.

Rates for funding will depend on the conditions of these calls, who could also take into account the existence of a European co-funding.

#### 1) Details regarding calls set up under the French investment plan France 2030

According to the priorities of the French investment plan France 2030, the “emerging” or “developing” aspect of the project’s partners is a key point of selectivity of the, France 2030 aiming at fostering new/emerging economic actors.

Project integrating companies less than 12 years old or project integrating companies operating a significant market or strategic reorientation towards new particularly innovative products or towards emerging markets, or experiencing an intense growth, or conducting external growth acquiring companies not older than 3 years on the relevant market or in a research and development consortium with start-ups, will be prioritized.

The I-Démo Europe call is particularly concerned.



For information, for projects whose national public funding originate from “I-Demo Europe” scheme, the support rates are as follows:

Type of beneficiary Type of project	Large enterprises	SMEs	RTO (Full costs)	RTO (Incremental costs)
Research and Innovative Action (RIA)	25 %	35 %	25%	65%
Innovative Action (IA)	20 %	30 %		



## Germany

### National contact persons

Country	Name	First name	Tel	E-mail
Germany	General information on funding under Horizon Europe			
	Schmidt	Uwe-Michael	+49 228 3821-2233	<a href="mailto:uwe-michael.schmidt@dlr.de">uwe-michael.schmidt@dlr.de</a>

Germany	Specific information on national funding applications for CHIPS			
	Schwartz	Gregor	+49 351 486797 47	<a href="mailto:Gregor.Schwartz@vdivdeit.de">Gregor.Schwartz@vdivdeit.de</a>
	Rittner	Johannes	+49 30 310078 230	<a href="mailto:Johannes.Rittner@vdivdeit.de">Johannes.Rittner@vdivdeit.de</a>

Applicable documents and further information are available via the website [www.elektroniforschung.de/forderung/bekanntmachungen/chipsju](http://www.elektroniforschung.de/forderung/bekanntmachungen/chipsju).

Federal funding will be awarded by the Bundesministerium für Bildung und Forschung (BMBF). Partners from Thuringia and Saxony may receive combined funding from the BMBF and the respective *Land*.

### Criteria and rules for the eligibility of a partner or a project

#### 1) Applicable documents

- The German Federal Government's **Framework programme** for Research and Innovation 2021/2024 „[Mikroelektronik. Vertrauenswürdig und nachhaltig. Für Deutschland und Europa.](#)“
- The **national call** „[Richtlinie zur Förderung der Mikroelektronik-Forschung von Verbundpartnern im Rahmen des Gemeinsamen Unternehmens Chips](#)“ covering research topics in electronics
- For CHIPS JU calls related to quantum technologies, a separate national call will be launched

#### *Type or nature of participants*

- Commercial companies in Germany
- State and non-state institutions of higher education and non-university research establishments



## 2) Legal, administrative and financial conditions

A German partner's contribution is eligible for national funding by the BMBF if it focuses on research in electronics including interdisciplinary topics (e.g. cyber-physical systems, integrated photonics, electronics for quantum technology, embedded software) as specified in the **national call** (see above).

- If the funding requests for BMBF exceed the available funding, projects with greater contributions to the strategic objectives of the above-mentioned **Framework programme** are a greater priority for BMBF funding, potentially leading to different national funding priorities than the ranking for EU funding. The evaluation criteria are stated in the **national call** (see above).
- The BMBF funding aims at strengthening the innovation capabilities of project partners and companies located in Germany who intend to exploit research results in Germany and Europe, as well as to accelerate technology transfer into practical applications.
- Funding may be awarded for high-risk pre-competitive industry-driven research and development projects with an application-oriented approach and a high level of innovation which could not be accomplished without public funding. Projects should illustrate the added value of R&D&I results on the basis of an appropriate application, e.g. a demonstrator.
- The Project Outline (PO) and Full Project Proposal (FPP) submitted to the CHIPS JU shall include a fully completed "National Grant" table. The "National Grant" table shall include the budget (including national funding request) established according to the rules for cost eligibility and amounts applicable in Germany for purely national funding. If a single legal entity ("organisation" in Part A of the application form) requests funding for activities to be carried out at one or several organisational units ("departments") that have a high degree of autonomy and/or are located in a different *Land* from the organisation, the budget for each such department shall be listed separately in the "National Grant" table. Please refer to

<https://www.elektronikforschung.de/foerderung/bekanntmachungen/chipsju> where a template for the "National Grant" table is available. **The eligibility of German project partners cannot be evaluated without the "National Grant" table.** *Consortium configuration*

For proposals submitted to the ECS part of the CHIPS JU, the consortium configuration needs to fulfil the following criteria.

- To be eligible for national funding, the overall effort of any project with participants from Germany should be at least 50 person years. Additionally, German participation in this project should be at least 10% of the overall effort. Moreover, each German partner should contribute substantially to the effort of the German consortium.
- Each consortium has to reflect an appropriate balance between industrial companies, RTOs and academia: the ratio of efforts (in person months) between companies and research institutions from Germany in any given project should be 2:1 or higher.



- Germany aims at a high participation of SMEs and supports the Horizon Europe goal that a minimum of 20 % of the total public funding should be awarded to SMEs.

### **Eligibility of the costs and funding**

#### **3) Eligibility of costs**

- The eligibility of costs is regulated in the BMBF's standard terms and conditions for grants on expenditure or cost basis and the administrative regulations under sections 23 and 44 of the Federal Budget Code (BHO).

#### **4) Funding and funding rates**

- Financial BMBF support is awarded in the form of project funding as non-repayable grants to participants.
- Complete information on the national application process can be found in the **national call** "Richtlinie zur Förderung der Mikroelektronik-Forschung von Verbundpartnern im Rahmen des Gemeinsamen Unternehmens Chips"
- National grant applications shall not be handed in before they are requested by the national funding authority. In case the FPP is selected to be funded nationally, the national funding authority will contact each partner individually in order to request a national grant application.
- The national funding aims at mirroring the funding which a participant actually receives from the Joint Undertaking in absolute amounts (EUR), matching up to 1:1. Funding decisions and reimbursement rates also depend on budgetary and policy considerations. The national funding may therefore be below a 1:1 ratio per partner.



## Israel

### Israel national contact persons for Chips JU programme

Name	First	Phone	email
Seker	Dan	00972527334127	<a href="mailto:Dan@iserd.org.il">Dan@iserd.org.il</a>
Loutaty	Rachel	00972528913774	<a href="mailto:Rachel.l@iserd.org.il">Rachel.l@iserd.org.il</a>
Avrahami	Moshe	00972545882322	<a href="mailto:Moshe.Avrahami@innovationisrael.org.il">Moshe.Avrahami@innovationisrael.org.il</a>

### Web sites:

[Israel Innovation Authority – Procedure 22.11.23](#)

### MAGENT CONSORTIA PROCEDURES

### How to apply:

**Please contact Israel Innovation Authority – ISERD for further information**

#### 1) Legal requirements for the eligibility for national funding of a partner

- i. Israeli Corporate engaged in R&D activity for commercial purpose and meets Israel Innovation Authority regulations for funding
- ii. Israeli Research Institute, involved in R&D activity in cooperation with Israeli corporate as part of the consortium.
- iii. Approved as a partner in the relevant consortium by an IIA research committee

#### 2) Legal, administrative and financial conditions

As detailed in Procedure 22.11.23 above and inter alia:

- I. Eligibility for national funding is subject to **prior local submission**, review and IIA committee decision
- II. Financial conditions as per Chips-JU regulations
- III. An entity may apply to Chips-JU call without requesting national funding



### 3) Eligibility of the costs and funding

#### I. Eligibility of costs

Eligible costs and expenses – in line with the CHIPS JU European rules

#### II. National funding rates

Type of action/Type of Beneficiary	Large enterprise	SME	Public Research Institutes and Universities
Research and Innovation action	Equal to the Chips-JU decision or 33% (the lowest)		Equal to the Chips-JU decision or 40% (the lowest)
Innovation Action	Equal to the Chips-JU decision or 33% (the lowest)		Equal to the Chips-JU decision or 40% (the lowest)

**The IIA grant under this program is exempt from royalties.**





## Italy

### National eligibility

Italy is participating to the present calls with funds coming from the following funding organizations:

1. Ministry of Universities and Research (MUR) which is committing 5 million euro as grants. MUR supports the calls: Chips-2024-2-RIA-Topic-1 and Chips-2024-2-RIA-Topic- 2.
2. Ministry of Enterprises and Made in Italy (MIMIT) which is committing 17 million euro as grants. MIMIT supports the calls: Chips-2024-1-IA-Topic-1, Chips-2024-1-IA-Topic-2, Chips-2024-1-IA-Topic-3.

The specific eligibility criteria and funding rules for each funding organization are described the following paragraphs.

### **Ministry for Universities and Research (MUR)**

Italy - MUR	Covello	Aldo	+39 375 510 2431	aldo.covello@mur. gov.it
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### **Ministry for Universities and Research [www.ricercainternazionale.mur.gov.it](http://www.ricercainternazionale.mur.gov.it)**

#### **1. Legal requirements for the eligibility of a partner or a project**

##### **a) Type or nature of participants**

According to art. 60 of the Decree-Law n. 83/2012 and to art. 5 of its implementing Ministerial decree 1314/2021, the following entities are eligible, providing that they have stable organization in Italy: enterprises, universities, research institutions, research organizations in accordance with EU Reg. n. 651/2014 of the European Commission - June 17, 2014.

##### **b) Legal, administrative and financial conditions**

The participant must not be defaulting with regard to other funding received by the Ministry.

The participant must not have requested/got any other funding for the same project, apart from the KDT funding.

The participant must respect the Italian law "D.Lgs. n 159 del 6/09/2011 e successive modificazioni ed integrazioni".

The participant must not be subject to bankruptcy proceedings as of art. 5, comma 4, letter b) of DM 1314/2021 or must not be a company in difficulty according to the definition under number 18) of article 2 "Definitions" of Regulation (EU) no. 651/2014.



The participants must follow the obligations laid down in the contributory and social security regulations (DURC).

The judicial and pending records of the legal representative of the participant are negative

The participant must be registered at the "Anagrafe Nazionale della Ricerca". Partners not registered yet shall request to register only if selected for funding.

For any private entity, the following financial criteria, calculated using the data reported in the last approved balance sheet, must be fulfilled

a)  $CN > (CP - I)/2$  Where:

CN = net assets (Capitale netto)

CP = sum of the costs of all the projects for which public funding has been requested by the participant during the year

I = sum of the contributions received, approved or requested for the same projects

b)  $OF/F < 8\%$

Where:

OF = financial charges (Oneri finanziari) F = turnover (Fatturato)

c) Consortium configuration

No restrictions

d) Other conditions

Companies must have the financial means to execute the project and a potential to use the results. The participant should foresee, after the end of the project, the exploitation of the results of the project so to guarantee the return of the investment.

Large enterprises shall demonstrate the subsistence of the incentivisation effect as of art. 6 of GBER.

### **Calls supported, budget available and maximum funding per project**

MUR committed a budget of 5 million euro as grant coming from the Fondo per gli Investimenti nella Ricerca Scientifica e Tecnologica (FIRST).

The calls supported by MUR, the budget tentatively allocated to each call and the maximum funding per project that can be requested by Italian participants are shown in the following table:

Call	Total budget for the call	Maximum funding per project
Chips-2024-1-IA-Topic-1	Not supported	N.A.
Chips-2024-1-IA-Topic-2	Not supported	N.A.
Chips-2024-1-IA-Topic-3	Not supported	N.A.
Chips-2024-2-RIA-Topic-1	4,000,000	800,000



Chips-2024-2-RIA-Topic-2	1,000,000	1,000,000
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## 2. Eligibility of the costs and funding

### a) Eligibility of costs

All costs incurred during the lifetime of a project under the following categories are eligible: personnel, equipment, subcontracting, travels, consumables, and overheads. Overheads are calculated as 25% of the direct costs (personnel, equipment, travels, and consumables). They include also coordination and dissemination expenses.

### b) National public funding rates

Type of action/Type of Beneficiary	Large enterprise	SME	Public Research Institutes and Universities
Research and Innovation action	25%	35 %	35%
Innovation Action	Not funded	Not funded	Not funded

On request of applicants a pre-payment may be done immediately after the signature of the national Grant agreement, equal to 80% of the total contribution. The remaining part of the contribution will be paid at the end of the project.

For those beneficiaries who does not request the pre-payments, the contribute will be paid in instalments after each reporting period.

Italian participant may request a lower funding.

### c) Additional Information to be provided at submission and other conditions.

**All Italian participants must submit a national application through the dedicated web platform <https://banditransnazionali-miur.cineca.it>. These documents must be submitted to MUR by September 26th, 2024 at 17.00, Rome time. Any participant who does not send its national application by this deadline, will be considered ineligible.**

## Ministry of Enterprises and Made in Italy (MIMIT)

### National contact person for Chips JU programme

Country	Name	First	Phone	email
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Italy - MIMIT	Milazzo  Lippolis	Valentina  Massimiliano		<a href="mailto:valentina.milazzo@mise.gov.it">valentina.milazzo@mise.gov.it</a>  <a href="mailto:massimiliano.lippolis@mise.gov.it">massimiliano.lippolis@mise.gov.it</a>
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Ministry of Enterprises and Made in Italy

[www.mimit.gov.it](http://www.mimit.gov.it)**1. Legal requirements for the eligibility of a partner or a project**

e) Type or nature of participants The following entities are eligible:

- Enterprises;
- Research Centers
- Universities and research organizations - only in collaboration with enterprises with which to set up a Consortium or a Network of Companies. The lead partner of the joint project must be an Italian enterprise.

f) Legal, administrative and financial conditions

The Ministry of Economy and Finance Decree, August 06, 2021 allocated financial resources for the implementation of the interventions of National Recovery and Resilience Plan (PNRR); Mission M4-Component C2; Id I2.2- Partnership Horizon Europe.

The projects will be financed by respecting what is established in the Regulation (EU) GBER n.651/2014 and Regulation (EU) 2021/1237 of the Commission amending Regulation (EU) No 651/2014 declaring certain categories of aid compatible with the internal market in application of Articles 107 and 108 of the Treaty.

The projects financed by the Ministry of Enterprises and Made in Italy (MIMIT) shall comply with the acts related to the specific measure (Ministerial Decree, December 16 2022 nr. 186485, and the following DGTCI-DGIAI Directorial Decree).

Applicants will be selected taking into account their capability to be environmentally sustainable in line with Regulation (EU) 2020/852 of the European Parliament and of the Council and Delegated Act C(2021) 2800, June 04, 2021 as well as the Ministry of Economy circular letter of 30 December 2021, n. 32, "National Recovery and Resilience Plan -

Operational guide for compliance with the principle of not causing significant damage to the environment (DNSH- Do Not Significant Harm) and the updated version of 13 October 2022, n. 33, describing the general criteria so that every single economic activity does not cause significant harm by contributing to the objectives of mitigation, adaptation and reduction of environmental impacts and risks.



The applicants are expected to comply with the principle of gender equality in relation to Articles 2, 3 (3) of the TEU, 8, 10, 19 and 157 of the TFEU, and 21 and 23 of the Charter of Fundamental Rights of the European Union, and the obligation of protection and enhancement of young people, under penalty of the possibility of suspension or revocation of the loan in the event of ascertaining the violation of these general principles.

The Ministry of Enterprises and Made in Italy (MIMIT) will refer also to the Annex 2 of Commission Notice (2021/C 58/01) “Technical guidance on the application of do not significant harm under the Recovery and Resilience Facility Regulation” which includes the evidence of evaluation purposes of DNSH.

The Ministry of Enterprises and Made in Italy (MIMIT) will exclude from funding any activity included in the Annex V, point B of the Regulation (EU) 2021/523 of the European Parliament and of the Council establishing the InvestEU programme and amending the Regulation (EU) 2015/1017.

According to Article 2, paragraph 6-bis of the Decree Law 31 May 2021 n. 77, the Administrations ensure that at least 40% of the resources will be allocated to the beneficiaries of South Italy Regions. Nevertheless, it will be protected the interest in the total allocation of the resources put up for tender if the Ministry doesn't receive a number of applications, from Southern Italy applicants, that would exhaust the financial resources referred to the aforementioned reserve.

g) Consortium configuration

The Italian consortium must include at least one Italian company. The project shall be executed primarily to the benefit of the company/es. The Ministry will apply the Virtual Common Pot by financing national applicants.

h) Other conditions

Companies must have the financial means to execute the project and a potential to use the results.

The participant should foresee, after the end of the project, the exploitation of the results of the project so to guarantee the return of the investment.

Calls supported, budget available and maximum funding per project MIMIT committed a budget of 17 million euro as grant.

The calls supported by MIMIT, the budget tentatively allocated to each call and the maximum funding per project that can be requested by Italian participants are shown in the following table:

Call	Total budget for the call	Maximum funding per project
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Chips-2024-1-IA-Topic-1	12,000,000	3,000,000
Chips-2024-1-IA-Topic-2	2,500,000	3,000,000
Chips-2024-1-IA-Topic-3	2,500,000	3,000,000
Chips-2024-2-RIA-Topic-1	Not supported	N.A.
Chips-2024-2-RIA-Topic-2	Not supported	N.A.

## 2. Eligibility of the costs and funding

### d) Eligibility of costs

All costs incurred during the lifetime of a project under the following categories are eligible: personnel, equipment, subcontracting, consumables, and overheads. Overheads are calculated as a fixed percentage 25% of eligible costs of the project, as established by art. 20 of the delegated regulation (EU) n 480/2014 and by art. 29 of the regulation (EU) n. 1290/2013, in line with the provisions of art 53.3 lett. c of Regulation (EU) 1060/2021 as referred to in art. 10 paragraph 4 of Decree 121/2021. They include also communication, dissemination and travel expenses.

### e) National public funding rates

Type of action/Type of Beneficiary	Large enterprise	SM E	Public Research Institutes and Universities
Research and Innovation action	Not funded	Not funded	Not funded
Innovation Action (T1)	20%	30%	35%
(T2-T3)	25%	30%	35%

### f) Additional Information to be provided at submission and other conditions.

All Italian participants must submit a national application to the following email address: [dgiai.div6@pec.mise.gov.it](mailto:dgiai.div6@pec.mise.gov.it). These documents must be submitted to MIMIT by the same deadline of the Chips JU calls. Any participant who does not send its national application by this deadline, will be considered ineligible.



## Latvia

### National contact person for Chips JU non initiative call 2024

Country	Name	First name	Tel	E-mail
LV	Julija	Asmuss	xxx	<a href="mailto:julija.asmuss@lzp.gov.lv">julija.asmuss@lzp.gov.lv</a>
	Sarmite	Mickevica		<a href="mailto:sarmite.mickevica@izm.gov.lv">sarmite.mickevica@izm.gov.lv</a>

### Legal requirements for the eligibility of a partner or a project

#### e) Type of participants

Following legal persons (as defined under the Latvian law) are eligible for funding, except natural persons:

- R&D institutions: state research institutes, universities, their research institutes, higher education establishments, research centres,
- Private entities: SMEs, large enterprises, companies.

R&D institutions must be listed in the Registry of Research Institutions operated by the Ministry of Education and Science of the Republic of Latvia.

Private entities must be registered in the Registry of Enterprises of the Republic of Latvia and provide most of its R&D&I activities in the Republic of Latvia.

#### f) Legal, administrative, and financial conditions

The funding for R&D activities is provided in accordance with the:

- Regulations of the Cabinet of Ministers of the Republic of Latvia no. 259 on the procedure for granting support for participation in international cooperation programs for research and technology (adopted on 26 June 2015),
- provisions of Commission Regulation (EU) No651/2014 of 17 June 2014 declaring certain categories of aid compatible with the common market in application Articles 107 and 108 of the Treaty (Article 25),
- Commission Regulation (EU) No 2021/1237 of 23 July 2021 amending Regulation (EU) No 651/2014 declaring certain categories of aid compatible with the internal market in application of Articles 107 and 108 of the Treaty.

Each Latvian project participant can request funding of up to EUR 100,000 for each year of project implementation (12-month period).

The national funding for the Chips JU Call 2024 is EUR 600 000.

Further information on the conditions for receiving funding can be found on the LZIP website:  
<https://www.lzp.gov.lv/lv/atbalsts-starptautiskas-programmas-projektiem>

#### g) Consortium configuration



None

h) Other conditions

None

### 3. Eligibility of the costs and funding

#### iii. Eligibility of costs

Direct costs:

- Personnel costs,
- Travel costs,
- Equipment (only depreciation costs),
- Materials, consumables etc.,
- Subcontracts (up to 25% of total participants direct costs),
- Other costs (open access, meetings etc.).

Indirect costs: can reach a maximum of 25% of the total direct costs.

#### iv. National public funding rates

Type of action/Type of Beneficiary	Large enterprise	SME	Public Research Institutes and Universities
Research and Innovation action	up to 65%*	up to 80%*	100%**
Innovation Action	50%	50%	100%**

\* - in accordance with the rates of state aid intensity determined in Article 25

\*\* - the funding for research and development activities carried out by Public Research Institute or University may be at the level of 100% if it meets the status of a research and knowledge dissemination organization

v. additional Information to be provided at submission and other conditions





## Netherlands

### National contact person for Chips JU programme

Country	Name	First	Phone	email
Netherlands	van der Bijl	Bob	+31 6 21839477	<a href="mailto:chipsju@rvo.nl">chipsju@rvo.nl</a>
	De Boer	Jacob Jan	+31 6 23311252	

Background documents and other information can be downloaded from the website of Netherlands Enterprise Agency: <https://www.rvo.nl/subsidies-financiering/chips-ju>. The Dutch text on this website takes precedence over the English text below.

### Legal requirements for the eligibility of a partner or a project

#### 1) Admission conditions

The Netherlands will support the Dutch partners in projects selected by the Chips Joint Undertaking ECS part when:

- the project concerns industrial research, experimental development or a combination of these;
- in the project one or more Dutch partners are involved which include minimal one industrial partner. In the case of only a single Dutch partner participating in a project, it has to be an SME;
- the industrial partners of the Dutch consortium provide the major contribution to the Dutch part of the project in such a way that the major part of the public funding (Chips + NL) involved goes to the industrial partners of the Dutch consortium;
- the objectives of the Dutch part of the project fit within the Innovation Contract High Tech Systems and Materials (HTSM) and its underlying Roadmaps (<https://hollandhightech.nl/innovatie/technologieen>);
- the project complies with the “Algemene wet bestuursrecht” and the “Kaderwet EZK- en LNV-subsidies”.

Dutch partners in a proposal must include in the Project Outline (PO) sent to the Joint Undertaking the following information:

- Explanation of the contribution to the objectives of the Innovation Contract High Tech Systems and Materials (HTSM) and its underlying Roadmaps (<https://hollandhightech.nl/innovatie/technologieen>)

Dutch partners in a proposal must include in the Full Project Proposal (FPP) sent to the Joint Undertaking the following information:

- Authorisation form;



- Explanation of the contribution to the objectives of the Innovation Contract High Tech Systems and Materials (HTSM) and its underlying Roadmaps (<https://hollandhightech.nl/innovatie/technologieen>)
- Model overview of the costs.

**Note** that in case that there are several Dutch partners in the proposal, the Dutch partner coordinating them (the so-called "Dutch coordinator") will be in charge of submitting the above information on their behalf. The information and forms will be submitted as the **National Part** of the FPP in a ZIP file through the Chips Proposal Submission system. There will be only one ZIP file for all Dutch participants in a given proposal.

The required forms can be downloaded from the website of Netherlands Enterprise Agency: <https://www.rvo.nl/subsidies-financiering/chips-ju>.

## 2) Rejection conditions

An application for support of the share of Dutch participants of a project is rejected when:

- the partner that submits the application on behalf of all Dutch partners (the "Dutch coordinator") is not an enterprise;
- only a single Dutch partner is participating in a project that is not an SME;
- it is not credible that the Dutch partners can finance their share in the project;
- it is credible that the project without subsidy would have been finished without substantial delays;
- there is insufficient trust that Dutch partners have the necessary capacities to fulfil the project as submitted;
- the project has insufficient positive effects on the Dutch economy;
- the Dutch part of the project contributes insufficiently to the objectives of the Innovation Contract High Tech Systems and Materials (HTSM) and its underlying Roadmaps (<https://hollandhightech.nl/innovatie/technologieen>).

## Eligibility of the costs and funding

### 1) Eligibility of costs

- The **eligible costs for subsidy** are in compliance with the R&D&I State Aid Rules, the "Algemene wet bestuursrecht" and the "Kaderwet EZK- en LNV-subsidies".
- The Dutch subsidy percentages are indicated below in the section Funding Rates.
- In case another Dutch administrative body has already granted a subsidy for the eligible costs of the Dutch part of an Chips project or part of such project, the contribution by the Ministry of Economic Affairs and Climate Policy will be granted so that the total amount of subsidy will not exceed the before-mentioned Dutch subsidy percentages.
- In case that a contribution has been already granted for the eligible costs for subsidy to the Dutch part of an Chips project or part of it on the basis of a subsidy scheme of the Ministry of Economic Affairs and Climate Policy, no additional subsidy will be granted by the Ministry of Economic Affairs and Climate Policy for the already subsidized part.



- Per individual Dutch partner the subsidy percentages will be applied according to the activities. The project eligible costs per partner will be defined and the corresponding percentages will be applied.
- Per Chips project in which a Dutch consortium takes part a total national maximum of € 10.000.000 funding for the Dutch consortium will be initially applied in the case of IA projects and a total national maximum of € 5.000.000 funding for the Dutch consortium will be initially applied in the case of RIA projects.
- The Dutch budget for the Chips Calls 2025 is in total € 30.000.000 (subject to parliamentary approval).
- RVO (Netherlands Enterprise Agency) will be in charge of the project administration of all projects of the Chips Calls.

## 2) National public funding rates

Type of action/Type of Beneficiary	Large enterprise	SME	Public Research Institutes and Universities
Research and Innovation action	20%	30%	25%
Innovation Action	20%	30%	25%

In case the EU funding rates and/or conditions are modified the national funding rates may be amended.



## Poland

### National contact person for Chips JU programme

Country	Name	First	Phone	email
Poland	Nowak	Paulina	T: +48 22 25 66 735 M: +48 502 052 237	<a href="mailto:paulina.nowak@ncbr.gov.pl">paulina.nowak@ncbr.gov.pl</a>

*(Web site or any other information source of the national funding authority as a reference to the applicants.)*

National Centre for Research and Development: <https://www.gov.pl/web/ncbr>

### Legal requirements for the eligibility of a partner or a project

- a) Type or nature of participants
- Research organizations;
  - Micro, Small, Medium and Large Enterprises;
  - Groups of entities consisting of research and knowledge organisations/entrepreneurs (in any configuration).

- b) Legal, administrative and financial conditions

All proposals must be aligned with National regulations, inter alia:

1. The Act of 20 July 2018 on the Law of Higher Education and Science, published in Journal of Laws item 742, 2023, as amended;
2. The Act of 30 April 2010 on the National Centre for Research and Development, published in Journal of Laws item 2279, 2022;
3. The Regulation of the Minister of Science and Higher Education of 19 August 2020 on granting state aid by the National Centre for Research and Development, published in Journal of Laws item 1456, 2020, as amended.



c) Consortium configuration

Groups of entities consisting of research and knowledge organisations/entrepreneurs (in any configuration).



## d) Other conditions

For entrepreneurs independently undertaking projects at the national level, there is no possibility of increasing the intensity of state aid for industrial research and experimental development based on the condition of effective cooperation between entrepreneurs or between entrepreneurs and research organisations.

**Eligibility of the costs and funding**e) *Eligibility of costs*

A detailed information (in Polish) will be available for applicants at [COMPETITION PLATFORM](#) when the call is published.

The costs eligible for funding or state aid in the case of basic research, applied research and experimental development are the costs included in the **Cost Eligibility Guide**, which is an annex to the NCBR call announcement.

Activities related to project promotion and project management cannot be a separate package work (WP/task). Costs related to these activities may be included in the WP/tasks research.

f) *National public funding rates*

Funding quota of Polish participants can be up to 100% for research organisations. In the case of enterprises, funding quota will be decided on a case-by-case basis depending on the size of the company, type of research/development, risk associated with the research activities and commercial perspective of exploitation. Funding quota of Polish participants apply to Research and Innovation Action and Innovation Action. Organization must be registered in Poland.

	<b>Large Enterprises</b>	<b>Medium Enterprises</b>	<b>Micro/Small Enterprises</b>	<b>Research organization</b>
<b>Fundamental/ Basic Research</b>	0%	0%	0%	0%
<b>Industrial/Applied Research</b>	Up to 50+5/15/25 (max 75%)	Up to 50+10+5/15/25 (max 80%)	Up to 50+20+5/15/25 (max 80%)	Up to 100%



<b>Experimental Development</b>	Up to 25+5/15/25 (max 50%)	Up to 25+10+5/15/25 (max 60%)	Up to 25+20+5/15/25 (max 70%)	Up to 100%
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g) Additional Information to be provided at submission and other conditions.

### **National phase of application procedure**

After international evaluation has been completed and the ranking list established, Polish participants from consortia recommended for funding will be invited to submit the National Application Form (NAF). All eligible entities invited to submit the NAF are obliged to use the rate of exchange of the European Central Bank of the day of call opening (published on the call announcement).

The Director of the National Centre for Research and Development subsequently issues a funding decision and signs national grant agreements with Polish participants providing that they have signed Chips JU grant agreements first.

### **Partner Search Tool**

We encourage you to learn about and use our "PartFinder" (Partner Search Tool), which allows you to match science and industry entities from around the World with each other. The search tool is available at: <https://partfinder.ncbr.gov.pl/>.





## Portugal

### National contact person for Chips JU programme

Country	Name	First	Phone	email
Portugal	Coelho	Filipa	+351 213924450	filipa.coelho@fct.pt

### Foundation for Science and Technology/ Ministry of Education, Science and Innovation

<https://www.fct.pt/>

For the R&I “ECS” part of the Work Programme 2024, a total amount of up to **3 500 000 Euro** from state budget is committed to co-fund Portuguese beneficiaries covering all topics under calls HORIZON-Chips-2024-1-IA and HORIZON-Chips 2024-2-RIA. The total national co-funding amount of each national beneficiary in each project cannot exceed **250 000 Euro**.

National co-funding is compatible with the internal market in the sense of article 107, paragraph 3, of the Treaty of Functioning of the European Union, being for that reason exempt from the obligation of notification foreseen in article 108, paragraph 3, of the referred Treaty, since it fulfils the conditions established in article 25-C and chapter I of the Regulation (EU) 2014/651 of the Commission of 17 June 2014.

The eligibility rules of Horizon Europe Regulation (EU) 2021/695 apply to Portuguese participation in the Chips JU 2024 R&I calls for proposals (“ECS” part), with the exceptions indicated below.

### Legal requirements for the eligibility of a partner or a project

All proposals with national applicants **must include at least one Portuguese company** (large company or SME). Consortia consisting solely of non-entrepreneurial entities of the national research and innovation system (ENESII – “*entidades não-empresariais do sistema nacional de I&D*”), also designated as RTO, will not be considered eligible for Portuguese co-funding.

### Funding rates

Maximum national co-funding rates for Portuguese applicants are described in the table below.

Maximum national co-funding rates			
Type of action / Type of entity	Large company	SME	RTO/ENESII
Innovation Actions	<b>30%</b>	<b>25%</b>	<b>65%</b>
Research and Innovation Actions	<b>25%</b>	<b>30%</b>	<b>65%</b>

### Eligibility of the costs



Cost eligibility applicable to national co-funding will be determined based on Horizon Europe (Regulation (EU) 2021/695).

### Additional Information to be provided at submission and other conditions

National participants in selected proposals will have to sign a national grant agreement (“*Termo de Aceitação*”) with FCT in order to receive national co-funding.

## Romania

### National contact person for Chips JU programme

Country	Name	First	Phone	email
ROMANIA	ANANIA DINU	CRISTINA ELENA	+40722 238 877 +32492 922 349 + 40.21.303.21.23/ 416	<a href="mailto:cristina.anania@mcid.gov.ro">cristina.anania@mcid.gov.ro</a> <a href="mailto:elena.dinu@mcid.gov.ro">elena.dinu@mcid.gov.ro</a>

### RDI national funding Programmes

- I. *PNCDI IV - Programme 5.8.1 - European and International Cooperation, Partnerships and European Missions:*  
<https://uefiscdi.gov.ro/pncdi-iv-program-8>
- II. *Recovery and Resilience Plan (RRF), C9 - Business support and R&D&I, Investment 7 - Consolidating excellence and supporting Romania's participation in partnerships and missions under the Horizon Europe Programme:*  
<https://www.mcid.gov.ro/programe-europene/pnrr/componenta-9-suport-pentru-sectorul-privat-cercetare-dezvoltare-si-inovare/>

### Institutions in charge:

- I. **Ministry of Research, Innovation and Digitalisation:** <https://www.mcid.gov.ro/>
- II. **Executive Agency for Higher Education, Research, Development and Innovation Funding (UEFISCDI):**  
<https://uefiscdi.gov.ro/?we=module.org.uefiscdi.home&wtok=&wtkps=TYxLEoIwEETvkrXgJAESh4lHsMoTAIkxJb+CBCwp7m5AF+x65nW/AlNcRuRIRqtIPmLKkKStSD5loYRrtH+VzmTUwBN0fXnPgxfV1DLoaaR9aWQXGTPPrN22YTrLfCQmk75WsfDYGKvH3asII0nq+e4GJytunrrUSR27yOhifwrrro7kRUDLzpvjEcoWJYVLvq7hIZAo1be3+5kLYJJSH8Suvmo5MBAwukIly8=&wchk=4764e818dd96d739de369aefd03761e4e7505fb7>

### 3. Legal requirements for the eligibility of a partner or a project

- e) Type or nature of participants



### **PNCDI IV - Programme 5.8.1 & RRF, Component 9, Investment 7**

Entities specified in Chapter II, Section 1, Article 6 of Ordinance no. 57 of August 16, 2002, concerning scientific research and technological development, with later amendments and additions, are eligible. These include universities, national research and development institutes, research-development institutes, centers or stations under the Romanian Academy, the Romanian Academy of Scientists or branch academies, other research-development institutes, SMEs, companies, etc., registered in Romania.

#### **f) Legal, administrative and financial conditions**

Research and innovation projects, both RIA (Research and Innovation Actions) and IA (Innovation Actions), from research organizations and the business sector, selected for funding by Chips Joint Undertaking under the Horizon Europe Programme.

### **PNCDI IV - Programme 5.8.1**

- The funds allocated from the State Budget for Romanian partners in an Institutionalized Partnership are capped at 2,000,000 EUR per project, but not more than 1,500,000 EUR/Romanian partner.
- Call duration: February 8, 2024 – December 31, 2027.

### **RRF, Component 9, Investment 7**

- The maximum funding granted from RRF funds for a project under the call for projects PNRR-III-C9-2024-I7-P1 is EUR 300,000 EUR.
- Call duration: May 8, 2024 – June 28, 2024 (currently requesting an extension).

#### **g) Consortium configuration**

Romanian partners are allowed to participate in projects either individually or as part of a consortium, as follows:

### **PNCDI IV - Programme 5.8.1**

- An institution can participate in competitions as a project coordinator, project partner, or affiliated institution. Additionally, an institution can participate in the national competition organized within a Partnership either as the sole partner (single partner from Romania) or in partnership with other institutions from Romania.

### **RRF, Component 9, Investment 7**

- If multiple Romanian participants are in a European consortium implementing a project funded under European partnerships, each must submit a separate funding request under the current call for projects, only for the activity or activities they are involved in.

#### **h) Other conditions**

### **RRF, Component 9, Investment 7**

- Will provide complementary funding to research, development, and innovation projects under the Framework of European partnerships and EU missions, prioritizing project proposals with a strong "green" and "digital" component.

## **4. Eligibility of the costs and funding**

### **d) Eligibility of costs**

For both schemes, the level of funding is determined based on the types of activities and the category of institution, in accordance with the State Aid scheme – Commission Regulation (EU) No 651/2014 (Order [21324/02.11.2023](#) & Order [20396/06.02.2024](#)).



### i. PNCDI IV - Programme 5.8.1

#### (a) Direct costs:

- Personnel costs.
- Logistics:
  - Other direct costs such as consumables, and similar products necessary for research activities according to current legislation
  - Capital expenditures (for public research organizations that are not beneficiaries of state aid, 100% of the costs of equipment purchased during the project implementation period are funded. For institutions benefiting from state aid only depreciation costs). Capital expenditures cannot exceed 30% of the funding amount from the National budget
- Subcontracts (up to 25% of the funding amount from the National budget)
- Travels costs.

(b) **Indirect costs** (can reach a maximum of 25% of the total direct costs, excluding capital expenditures and expenses incurred by third parties).

### ii. RRF, Component 9, Investment 7

#### (a) Direct costs:

- Personnel costs.
- Logistics:
  - Other direct costs such as consumables, and similar products necessary for research activities according to current legislation
  - Capital expenditures (for public research organizations that are not beneficiaries of state aid, 100% of the costs of equipment purchased during the project implementation period are funded. For institutions benefiting from state aid only depreciation costs). Capital expenditures cannot exceed 30% of the funding amount from the National budget
- Subcontracts (up to 25% of the funding amount from the National budget)
- Travels costs.

(b) **Indirect costs** (can reach a maximum of 25% of the total direct costs).

### e) National public funding rates

#### PNCDI IV - Programme 5.8.1

Type of action/Type of Beneficiary	Large enterprise	Medium enterprises	SME	Public Research Institutes and Universities
Research and Innovation action	Up to 65%	Up to 75%	Up to 80%	Up to 100%
Innovation Action	Up to 25%	Up to 35%	Up to 45%	Up to 100%

The maximum aid intensities granted through this scheme range from a minimum of 25% to a maximum of 80% of the total eligible project cost, as follows:

- (a) 100% of eligible costs for fundamental research;
- (b) 50% of eligible costs for industrial research;
- (c) 25% of eligible costs for experimental development;



(d) 50% of eligible costs for feasibility studies.

The aid intensities for industrial research and experimental development can be increased:

(a) by 10 percentage points for medium-sized enterprises and by 20 percentage point for small enterprises;

(b) by 15 percentage points if one of the following conditions is fulfilled:

(i) the project involves effective collaboration:

— between undertakings among which at least one is an SME, or is carried out in at least two Member States, or in a Member State and in a Contracting Party of the EEA Agreement, and no single undertaking bears more than 70 % of the eligible costs, or

— between an undertaking and one or more research and knowledge-dissemination organisations, where the latter bear at least 10 % of the eligible costs and have the right to publish their own research results;

(ii) the results of the project are widely disseminated through conferences, publication, open access repositories, or free or open source software;

(iii) the beneficiary commits to, on a timely basis, make available licences for research results of aided research and development projects, which are protected by intellectual property rights, at a market price and on non-exclusive and non-discriminatory basis for use by interested parties in the EEA;

(iv) the research and development project is carried out in an assisted region fulfilling the conditions of Article 107(3), point (a), of the Treaty;

(c) by 5 percentage points if the research and development project is carried out in an assisted region fulfilling the conditions of Article 107(3), point (c), of the Treaty;

(d) by 25 percentage points if the research and development project:

(i) has been selected by a Member State following an open call to form part of a project jointly designed by at least three Member States or contracting parties to the EEA Agreement; and

(ii) involves effective collaboration between undertakings in at least two Member States or contracting parties to the EEA Agreement when the beneficiary is a SME, or in at least three Member States or contracting parties to the EEA Agreement when the beneficiary is a large enterprise; and

(iii) if at least one the two following conditions is fulfilled:

— the results of the research and development project are widely disseminated in at least three Member States or contracting parties to the EEA Agreement through conferences, publication, open access repositories, or free or open source software; or

— the beneficiary commits to, on a timely basis, make available licences for research results of aided research and development projects, which are protected by intellectual property rights, at a market price and on non-exclusive and non-discriminatory basis for use by interested parties in the EEA.

The aid intensities for feasibility studies may be increased by 10 percentage points for medium-sized enterprises and by 20 percentage points for small enterprises.

### RRF, Component 9, Investment 7

Type of action/Type of Beneficiary	Large enterprise	SME	Public Research Institutes and Universities
Research and Innovation action	Minimum of 30% to a maxi. of 70% of the total eligible costs. Variation depends on	Minimum of 30% to a maxi. of 70% of the total eligible costs. Variation depends on the	Up to 100%



	the intensity of aid provided under European partnerships	intensity of aid provided under European partnerships	
Innovation Action	Minimum of 30% to a maxi of 70% of the total eligible costs. Variation depends on the intensity of aid provided under European partnerships	Minimum of 30% to a maxi of 70% of the total eligible costs. Variation depends on the intensity of aid provided under European partnerships	Up to 100%
<b>The maximum funding granted from PNRR funds for a project within the call for projects PNRR-III-C9-2024-I7-P1 is <u>EUR 300,000 regardless of the type of institution!</u></b>			

f) Additional Information to be provided at submission and other conditions.



## Slovenia

### National contact person for Chips JU programme

Country	Last Name	First Name	Telephone	E-mail
Slovenia	Kovačič	Mitja	+386 31 313 264	<a href="mailto:mitja.kovacic@gov.si">mitja.kovacic@gov.si</a>

The National authority is Ministry of Digital Transformation (<https://www.gov.si/en/state-authorities/ministries/ministry-of-digital-transformation/>).



## Spain

Two funding organizations will grant Spanish applicants to the **Chips JU- ECS R&I 2025** calls:

1. The Ministry for Digital Transformation and Civil Service (MDTCS) will support enterprises and other private agents.
2. The Agencia Estatal de Investigación (AEI, State Research Agency) depending on the Ministry of Science and Innovation and Universities (MCIU) will support research centers, universities and non-profit private research entities.

Each Spanish participant in a **Chips JU** Consortium needs to request the national funding from the corresponding funding entity at a later stage, in case the project is approved.

It is strongly recommended that all the possible applicants inform national contact person at the beginning of the proposal preparation.

### National contact persons for Chips JU Programme

Country	Name	Tel	E-mail
SPAIN	MDTCS: Guillermo Gómez Fontecha Sonia Juan Vindel Jesús Marcos Morell Álvaro Pereda Arce David de Francisco Marcos Juan Miguel Ibáñez de Aldecoa Quintana Adriel Taboada Villanueva		chipsju@digital.gob.es
	AEI: Beatriz Gómez Miguel	+34 916038707	Beatriz.gomez@aei.gob.es
	CDTI Enrique Pelayo	+34 915815566	enrique.pelayo@cdti.es

### Shared conditions between the MDTCS and AEI

It will be mandatory to fulfill all European current legal requirements for applying for public grants:

- Marco Comunitario sobre Ayudas Estatales de Investigación y Desarrollo e Innovación (OJ 2014/C198/01).
- **Council Regulation (EU) 2021/2085 of 19 November 2021 establishing** Joint Undertakings under Horizon Europe (Single Basic Act) and its amending Council Regulation (EU) 2023/1782 of 25 July 2023





- Applicable rules or regulations governing the Chips JU and its 2023-2027 Multiannual Work Program including Appendix 5
- GBER and RDI framework

Moreover, regarding any aspect not included in European regulation, it is mandatory to fulfill other Spanish current applicable legal requirements ruled in the following legal texts:

- Ley 38/2003, de 17 de noviembre, General de Subvenciones.
- Real Decreto 887/2006, de 21 de julio, por el que se aprueba el Reglamento de la Ley 38/2003, de 17 de noviembre, General de Subvenciones.
- Leyes anuales de Presupuestos Generales del Estado.
- Ley 47/2003, de 26 de noviembre, General Presupuestaria.
- Ley 39/2015, de 1 de octubre, del Procedimiento Administrativo Común de las Administraciones Públicas.
- Ley 40/2015, de 1 de octubre, de Régimen Jurídico del Sector Público.
- Ley 9/2017, de 30 de octubre, de Contratos del Sector Público.
- Ley 14/2011 de 1 de junio, de la Ciencia, Tecnología e Innovación
- Ley 28/2022, de 21 de diciembre, de fomento del ecosistema de las empresas emergentes,
- Ley Orgánica 2/2023, de 22 de marzo, del Sistema Universitario.

The Spanish legal texts can be found on <http://www.boe.es>

## **MDTCS**

### **Requirements by the Ministry for Digital Transformation and Civil Service**

#### **Legal requirements for the eligibility of a partner or a project**

##### **1) Type or nature of participants**

The Ministry for Digital Transformation and Civil Service (MDTCS) is the national authority in charge of funding Chips JU calls from the following participants:

- Spanish enterprises (SME, LE, GE<sup>30</sup>, [RDL 1/2010, de 2 de julio, Texto refundido de la Ley de Sociedades de Capital](#))
- Private Technology Centers (TC, RD 2093/2008 29th December)
- Private Universities (LOSU 2/2023)

According to the Spanish Regulation, enterprises and Technology Centers (RD 2093/2008 29th December) should follow the rules and procedures for loans and grants.

##### **2) Legal and administrative requirements**

Every national participant should be established in Spain, satisfy the Art. 13 of Ley 38/2003, de 17 de noviembre, General de Subvenciones (LGS) and not be in bankruptcy or not have requested the declaration of bankruptcy.

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<sup>30</sup> Group of Enterprises



For that reason, every national participant should sign a Statement of Compliance.

Therefore, every national participant should authorize the access and consultation of tax obligations, Social Security payments, fiscal residence, and Full Statement of National Insurance contributions of the workers assigned to the project and/or the verification of any other data provide during the granting and monitoring of the aid.

In case the participant does not authorize the consultation, the participant shall submit the corresponding documentation.

At the Project Outline (PO) stage, the following documentation shall be submitted to the Chips JU:

- Part C (one per project, sent by the coordinator of the national sub-consortium)

Regarding the Full Project proposal (FPP) stage, the following documentation shall be submitted to the Chips JU:

- Part C (one per project, sent by the coordinator of the national sub-consortium).

Templates for the aforementioned documents will be available at the website of the Secretariat of State for Telecommunications and Digital Infrastructures or upon request.

### **3) Legal and administrative procedures**

After signing the Grant Agreement, MDTCS will contact every beneficiary to assist in the fulfillment of forms and documents requested by the Spanish Public Authorities, to sign the National Grant Agreement document.

Payment of the national contribution will proceed regarding national rules in force.

A payment in advance is carried out after the establishment of the National Grant Agreement. This option requires collaterals of 100% of the amount. Collaterals shall be in the form of guarantees provided by credit institutions or mutual guarantee societies.

Proposals may be rejected when:

- It is not considered credible by the Spanish Public Authorities that consortium members could adequately co-finance the project.
- Project deadlines are not considered realistic by the Spanish Public Authorities
- Accredited Technical or financial capacities of Spanish consortium members are not considered realistic to fulfil the project as submitted.
- Spanish partners do not provide enough proof of their capabilities.
- The effect or positive impact on selection and evaluation criteria is considered insufficient by the relevant authorities.
- Not enough relevance of Spanish consortium share.



## Eligibility of the costs and funding

### 1. Consortium configuration

To be eligible, there is no minimum Spanish participation. However Spanish relevance should be detailed and will be assessed by MDTCS: projects considered not relevant for Spain may be rejected.

Participants shall set up a national sub-consortium with the following rules:

- 1) All members shall prove knowledge and expertise, and relevant contributions to the project in their relative project matters.
- 2) There shall be at least one SME in the Spanish consortium.
- 3) Each national sub-consortium will send its own form C
- 4) Each participant shall comply with the stated conditions to be a beneficiary at the moment national grant is awarded.

### 2. Solvency and Financial Conditions

- **MDTCS** will check if the participants are eligible monitoring that they are not in crisis as defined by EU regulation 651/2014.
- Each Spanish private partner has the necessary financial capacity to carry out the project, and the Spanish Public Authorities will assess it. **MDTCS** shall perform a financial analysis.
- **MDTCS** requires collaterals of 100% the national funding amount.

### 3. Funding rates

Maximum percentage of costs covered by **MDTCS**  
as a percentage of the eligible costs for the ECS calls Chips JU 2024

Type of Organization Type of activity	Large Enterprise / RTO	Medium Enterprise / RTO	Small Enterprise / RTO
RIA (EPS) Research and Innovation Action	Up to 65% - JU%	Up to 75% - JU%	Up to 80% - JU%
IA (EPS) Innovation Action	Up to 40% - JU%	Up to 50% - JU%	Up to 60% - JU%

These percentages are maxima according to the funding provided by the Chips JU and the conditions stated by annex I and articles 25 and 28 of Regulation (EU) No 651/2014 related to definition of enterprise categories and maximum aid intensity.



**MDTCS** will provide the same funding percentage than the Chips JU if possible, never surpassing the aforementioned limits in any case.

#### **4. Amount of required collateral by MDTCS**

MDTCS requires collaterals of 100% of the national funding amount.

#### **5. Additional Information to be provided at submission and other conditions**

**MDTCS** will specifically encourage projects:

- Coordinated by a Spanish entity.
- Where there are SMEs working in the core of the project.
- Contributing to the territorial cohesion in semiconductor sector and industry, according to the III axis of the Spanish Recovery, Transformation and Resilience Plan
- Promote the presence and participation of women in semiconductor sector and industry, according to the IV axis of the Spanish Recovery, Transformation and Resilience Plan
- Where Spanish entities that have never participated in previous ECSEL or KDT calls are integrated in order to broaden the range of participants in Chips JU.

### **AEI**

#### **Legal requirements for eligibility of a partner or a project and eligibility of the costs and funding**

##### **1. Legal requirements for the eligibility of a partner or a project**

###### **a) Type or nature of participants**

The [AEI](#) is the national authority which funds non-profit public R&D organizations such as:

- Public Research Centres
- Public and Private Universities
- Other non-profit R&D organizations, in which R&I activities are defined as the main objective.

It is mandatory that Spanish applicants requesting AEI funding participate in collaboration with the Spanish industrial partners carrying out relevant parts of the Chips JU projects.

The [AEI](#) has not defined any limit to the number of Spanish participants per project in the Chips JU calls.



b) Legal, administrative and financial conditions

### About the proposal and project

2025 Chips JU ECS R&I calls will be managed by the “[Subdivisión de Programas Científico-Técnicos Transversales, Fortalecimiento y Excelencia](#)”.

Applicants requesting national funds from [AEI](#) shall comply with the following regulations on grants:

- General Subsidies Law ([Ley 38/2003](#))
- Science Law ([Ley 14/2011](#))
- AEI Statutes ([Real Decreto 1067/2015](#))
- All other regulation that apply to national PCI calls ([National PCI call](#))

The projects granted by the [AEI](#) must be aligned with the main objectives described in the latest State Plan for Scientific and Technical Research and Innovation (more information at: [MICIU](#)).

The instrument for funding the Spanish groups will be the call “Proyectos de Colaboración Internacional” (PCI). As a reference, applicants are advised to read the call [PCI 2024-1](#).

Participation in this program means acceptance and compliance with all the conditions stated on this document.

Any publication or dissemination activity resulting from the granted projects must acknowledge [AEI](#) funding even after the end of the project, according to national PCI call (see article 12.2 in [the call text](#) of [PCI2024-1](#) for reference).

### Additional document. AEI Annex – “Declaración Responsable”

Applicants have to send to the [AEI](#) (to: [era-ict@aei.gob.es](mailto:era-ict@aei.gob.es) cc: [beatriz.gomez@aei.gob.es](mailto:beatriz.gomez@aei.gob.es)) the document “Declaración responsable” duly signed by the Spanish Principal Investigator. They must send it until one week after the deadline of the Project Outline (PO) stage of the call.

Bellow you can find the content of the document to be signed. It is available on the web site [Convocatorias Internacionales | Agencia Estatal de Investigación](#) for download.

c) Consortium configuration



Spanish Principal Investigators must demonstrate experience as investigators in projects funded by the Plan Estatal I+D+i 2013-2016, or subsequent plans or other relevant national or international programmes.

**Incompatibility (read carefully)<sup>31</sup>:**

- Principal Investigators can only apply for funding in only one proposal in 2025 ECS R&I Chips JU calls, including RIA, IA and others. If one PI submits two or more proposals, he/she will be declared ineligible in all but one.
- Principal Investigators will not be eligible for funding in more than one proposal in a PCI call of the same year or consecutive years. This should be taken into account when participating in other ERA-NETS or international programmes funded through the PCI call.
- Principal Investigators must remain unchanged between the proposal in PO stage to this transnational call and the PCI call. Only force majeure reasons will be accepted to change a principal investigator (see point one of incompatibilities).
- To this end, and to avoid any issue, the Principal Investigator must be clearly identifiable in the Chips JU documents as main contact point, when possible, and always with “leading” in “Role of researcher (in the project)” field of the proposal template and must comply with these rules. Otherwise, he/she will be declared ineligible for funding by the [AEI](#).

d) Other conditions

**2. Eligibility of the costs and funding**

g) *Eligibility of costs*

AEI will fund those tasks in the work packages indubitably related to research and technology development and innovation, not considering as such if only mere communication or dissemination or similar activities. Please contact AEI in advance to check eligibility.

AEI grants follow the rules of marginal costs, with a maximum request of 350.000 € (including direct + indirect costs) per participant or 50% of the total costs of the Spanish applicant part of the project (whichever amount is lower). In any case, the total grant ([AEI](#) + Chips JU) will be a maximum 100% of the total project costs.

Eligible costs are:

1. Personnel costs: Contracts (gross remuneration and contributions to social security) exclusively intended to the funded project implementation. Fellowships are not eligible.
2. Current costs, disposable materials, travelling expenses and other costs that can be justified as necessary to

<sup>31</sup> See the below “Declaración responsable”.



carry out the proposed activities.

3. Indirect costs (overheads), 25% of the direct eligible costs (see points 1 and 2).

If the Spanish participant is the Chips project coordinator, the maximum request can be up to 500.000€ (including direct + indirect costs) or 50% of the total costs of the Spanish part of the project (whichever amount is lower).

Centers formed by different Spanish legal entities will be considered as a unique entity, and thus the maximum funding should not exceed the limits per proposal established above (f.i. mixed centers).

Chips projects are granted by the Chips JU and [AEI](#) on the basis of a single budget per project, but with two complementary funding sources. This means that both the Chips JU and [AEI](#) finance the total project, not item by item. Double funding (overlapping with other EU or National funding) will be avoided and projects or parts of projects already funded will be not granted. Final funding will take into account the transnational evaluation of the collaborative proposal, the scientific quality of the Spanish group, the benefit of the international collaboration, the participation of the industrial sector, and the resources available.

Every institution funded by the [AEI](#) should justify the total costs of the project regardless of the origin of grants (Chips JU or [AEI](#)). Therefore, every institution funded by the [AEI](#) must submit a valid audit certificate with the total costs of the project.

*h) National public funding rates (N/A)*

Type of action/Type of Beneficiary	Large enterprise	SME	Public Research Institutes and Universities
Research and Innovation action			
Innovation Action			

**“Declaración responsable” to be sent to AEI** (to: [era-ict@aei.gob.es](mailto:era-ict@aei.gob.es) cc: [beatriz.gomez@aei.gob.es](mailto:beatriz.gomez@aei.gob.es))

Available on the web site [Convocatorias Internacionales | Agencia Estatal de Investigación](#) for download.

**NOTA IMPORTANTE**

La presente declaración responsable se atenderá a lo establecido en el artículo 69 de la Ley 39/2015, de 1 de octubre, del Procedimiento Administrativo Común de las Administraciones Públicas.

Según el citado precepto, la inexactitud, falsedad u omisión, de carácter esencial, de cualquier dato o información que se incorpore a una declaración responsable o a una comunicación, o la no presentación de la documentación que sea en su caso requerida para acreditar el cumplimiento de lo declarado, determinará la imposibilidad de continuar con el procedimiento dando lugar a la desestimación de la ayuda o en su caso su revocación, sin perjuicio de las responsabilidades penales, civiles o administrativas a que hubiera lugar.

Asimismo, se recuerda que tal y como establece el artículo 58.a) de la Ley 38/2003, de 17 de noviembre, General de Subvenciones, se considerará falta muy grave la obtención de una subvención falseando las condiciones requeridas para su concesión u ocultando las que la hubiesen impedido o limitado.

**DECLARACIÓN REPONSABLE**

D/Dña.....,

NIF.:....., con domicilio

en.....

....., teléfonos de contacto: ..... en nombre propio y como investigador(a) principal español(a) de la propuesta con título

..... y acrónimo ..... de la convocatoria ..... del año ..... en la que participa la Agencia Estatal de Investigación (AEI) como agencia financiadora española.

**DECLARO RESPONSABLEMENTE EN NOMBRE PROPIO Y COMO INVESTIGADOR(A) PRINCIPAL DE LA PROPUESTA QUE:**

1. Estoy en posesión del grado de doctor/a, o
2. Pertenezco a un centro contemplado en el Directorio de centros tecnológicos y centros de apoyo a la innovación tecnológica regulados por el Real Decreto 2093/20228, estoy en posesión de la titulación universitaria de licenciado/a, ingeniero/a, arquitecto/a o graduado/a, y, además, cumplo con una de las siguientes condiciones:
  - a. Tengo acreditada experiencia en actividades de I+D+i durante al menos cinco años.





- b. He dirigido proyectos de investigación en el marco de las convocatorias de proyectos de I+D+i del plan estatal de I+D+i 2013-2016 o posteriores
3. Cuento con autorización expresa de la entidad beneficiaria para participar en la solicitud de la propuesta .....(ACRONIMO).
  4. No estoy contratado/a con cargo a los fondos obtenidos en ninguna convocatoria de los planes estatales de I+D+i actual o anteriores, *con excepción* de las correspondientes al Programa Estatal de Promoción del Talento y su Empleabilidad en I+D+i o equivalentes en las que se requiera estar en posesión del grado de doctor/a, o de las ayudas «Severo Ochoa» y «María de Maeztu» de la AEI.
  5. Tengo una relación funcional, estatutaria, laboral u otro vínculo profesional con la entidad beneficiaria de la ayuda o con otra entidad que cumpla los requisitos de elegibilidad de las ayudas durante toda la duración de la acción<sup>32</sup>, o
  6. En el caso de que el vínculo profesional con la entidad beneficiaria sea menor a la duración de la acción, aporto una declaración de la entidad beneficiaria comprometiéndose a mantener la vinculación durante toda la duración de la acción.
  7. En el caso de que mi vinculación laboral no sea con la entidad beneficiaria sino con otra, cuento con la autorización expresa de ésta para participar en la solicitud presentada por la entidad beneficiaria.
  8. Cuento con experiencia (no necesariamente como investigador principal) en proyectos financiados por alguno de los Planes Estatales desde 2013, en proyectos del Programa Marco Europeo de I+i, incluidas las ayudas del Consejo Europeo de Investigación u otros programas nacionales o internacionales relevantes tal y como muestran las referencias de proyectos concedidos en los que he participado que se incluyen en el anexo<sup>33</sup>.
  9. No soy beneficiario de un ayuda de Proyectos de Colaboración Internacional del año 2024<sup>34</sup>.
  10. Solo presento una propuesta a la presente convocatoria<sup>35</sup>.
  11. No he presentado otra propuesta (incluso que no haya sido evaluada) en convocatorias transnacionales (proyectos bilaterales, ERA-NET, partenariados europeos o *partnerships*, u otros programas internacionales como CRCNS de la *National Science Foundation* estadounidense, los grupos de interés EIG-Concert-Japan y EU-CELAC, entre otros), que puedan ser financiadas por las convocatorias de “Proyectos de Colaboración Internacional” de 2025 o 2026 de la AEI.

<sup>32</sup> La expectativa de nombramiento o contratación con motivo de haber superado un procedimiento de selección de personal en concurrencia competitiva convocado por cualquier entidad del sector público, según la definición del artículo 2 de la Ley 40/2015, de 1 de octubre, se considerará vinculación suficiente

<sup>33</sup> En caso de no tener experiencia en proyectos de los planes estatales y nacionales mencionadas, puede informar de proyectos en los que haya participado para su valoración.

<sup>34</sup> No es compatible tener dos ayudas Proyectos de Colaboración Internacional del mismo año o de dos años consecutivos: 2024-2025, 2025-2026, 2026-2027.

<sup>35</sup> Si un mismo investigador principal presenta dos o más propuestas a la presente convocatoria, todas podrán ser declaradas no elegibles, sin posibilidad de cambio de IP.



12. No he presentado otra propuesta a la convocatoria de “Proyectos de Generación de Conocimiento 2024. Proyectos tipo I (PID-I)”<sup>36</sup>.

Anexos:

- Anexo 1. Lista de proyectos más relevantes financiados por los planes nacionales o/y estatales, así como de los programas marco de investigación e innovación de la Unión Europea u otros relevantes en los que he participado.
- Anexo 2. Declaración de la entidad beneficiaria comprometiéndose a mantener la vinculación del investigador principal durante toda la duración del proyecto. *Solo para los investigadores principales que tengan una vinculación con la entidad beneficiaria menor a la duración del proyecto.*

En ..... a ..... de ..... de .....

Fdo.: (Nombre completo y dos apellidos).

<sup>36</sup> Los otros tipos de proyectos de PID (no encuadrados en I) no tienen ninguna incompatibilidad con PCI.



Anexo 1.

Listado de proyectos financiados por planes nacionales o/y estatales, así como de los programas marco de investigación e innovación de la Unión Europea u otros relevantes en los que ha participado.

Referencia proyecto	Acrónimo	Título



Anexo 2.

Declaración de la entidad beneficiaria comprometiéndose a mantener la vinculación del investigador principal durante toda la duración de la acción.

*Solo para los investigadores principales que tengan una vinculación con la entidad beneficiaria menor a la duración del proyecto.*



## Sweden

### National contact persons for Chips JU

Country	Name	First name	Tel	E-mail
Sweden	Saavedra Granholm	Adela	+46 8 473 31 50	<a href="mailto:adela.saavedragranholm@vinnova.se">adela.saavedragranholm@vinnova.se</a>
Sweden	Gustafsson	Lars	+46 8 473 32 12	<a href="mailto:lars.gustafsson@vinnova.se">lars.gustafsson@vinnova.se</a>
Sweden	Brundin	Sverker	+46 8 473 31 97	<a href="mailto:sverker.brundin@vinnova.se">sverker.brundin@vinnova.se</a>

Detailed information for Swedish applicants in Chips JU is available at:

[Chips Joint Undertaking – Vinnova](#)

### Legal requirements for the eligibility of a partner or a project

#### Type or nature of participants

Calls are open for public and private companies of all sizes as well as for universities and research institutes in Sweden.

#### Funding conditions

The costs of all partners specified in the project budget and in the reporting to Vinnova shall harmonize with costs in accordance with the Grant Agreement with Chips JU and the costs reported to Chips JU, respectively.

Only legal entities are eligible for funding, natural persons will not be funded.

#### Consortium configuration

The total eligible project costs of participating Swedish companies must amount to at least 60% of the aggregated eligible project costs of all Swedish participants in the project consortium.

### Legal, administrative and financial conditions



Participating companies must have fulfilled fiscal obligations and must be able to cover their own expenses for the duration of the project.

- Participating companies must be registered as a limited company in Sweden (Aktiebolag).

**Vinnova – Sveriges innovationsmyndighet**

Mäster Samuelsgatan 56, 101 58 Stockholm // Tel: 08 473 30 00 // [vinnova.se](http://vinnova.se)

Fakturaadress: Vinnova, FE 34, 838 73 Frösön Leveransadress: Klara Norra  
Kyrkogata 14

Organisationsnummer: 202100-5216

- Participating companies must have a permanent establishment in Sweden.
- Project activities must be conducted at sites that belong to a participating company. Project costs must belong to the participating company.
- Participating companies must be registered for employer's contribution.
- Participating companies must have submitted at least two annual reports to the Swedish Companies Registration Office (Bolagsverket).
- The company's most recent annual report/ financial statement should show that net sales or equity correspond to at least 50% of the public funding applied for from Vinnova and Chips JU.

Swedish SMEs must also show when submitting the full project proposal (FPP) that they:

- Have an annual net turnover of at least 1 million SEK according to the latest annual report.
- Have a minimum of three full time employees.

Net turnover does not include public funding from, for example, Vinnova or the EU Commission.

To calculate how big a company is, the EU's definition of small and medium-sized companies is applied: [Användarhandledning om definitionen av SMFöretag \(vinnova.se\)](http://vinnova.se)

## Other conditions

Vinnova helps to build Sweden's innovation capacity, contributing to sustainable growth. We make it possible for organisations to address challenges together by enabling innovation that makes a difference. All projects that

Vinnova funds within Chips JU are expected to contribute to this mission.



Vinnova will check if the Swedish applicants are eligible considering the national eligibility rules, including Vinnova's terms and conditions for grants. In addition to that, Vinnova will assess the national relevance of the international project proposal based on the information about the Swedish applicant's contribution to the project, Vinnova's projects portfolio and national priorities.

Swedish applicants to Chips JU Calls 2024 **must** submit a joint National Part with the international full project proposal (FPP). In case the applicants fail to submit the National Part with the international FPP, they will be considered **not eligible for national funding**. In the National Part, participating companies are required to provide a credible description of the project's impact on the company's technological knowledge, economic growth and future assets in Sweden. Participating universities or research institutes are required to provide a credible description of the project's impact on the university's or research institute's scientific and technological knowledge base and positive impact on Swedish society in general. It is important that each partner clearly describes their role in the project, their goals with the project, how they will benefit from the project and added value from international collaboration. The Swedish consortium needs to specify in the National Part to which goals of Agenda 2030 the project contributes to and how the Swedish consortium contributes to the integration of gender equality aspects in the project.

A template for the Swedish National Part is available at Vinnova's website for the Chips JU Calls 2024.

If the international project proposal is selected for funding in Chips JU Calls

2024, the Swedish consortium must submit one joint national application to Vinnova. The project description attached to the national application should be based on the National Part submitted together with FPP application to Chips JU.

After PAB decision on projects selected for funding in Chips JU Calls 2024, Vinnova will contact the Swedish applicants to provide a template for the national application for funding and specific information about the submission process.

### **Eligible costs and funding rates**

### **Vinnova's terms and conditions**

§ 6.1 (Eligible costs) in Vinnova's terms and conditions for funding is replaced by the eligible costs and the calculation of these specified in the Grant Agreement with Chips JU. Otherwise



Vinnova's general terms and conditions for national funding applies. In addition, observe Vinnova's national rules and special conditions for participation in Chips JU applies. For further details, please see the full version of the national eligibility rules in the Vinnova website for the Chips JU Calls 2024.

#### Funding rates

In the table below the national funding rates for Swedish participants in Chips JU are presented. The funding rates must be within the limits given by [State Aid Rules](#).

Please be aware that EU contribution doesn't count as state aid.

	<b>Large Enterprises</b>	<b>Small and Medium Enterprises</b>	<b>Universities and Research Institutes</b>
<b>RIA - Research and Innovation Action</b>	25%	35%	50%
<b>IA - Innovation Action</b>	20%	30%	50%
<b>Focus Topics</b>	20%	30%	50%

<b>Project Coordinator</b>	<b>Large Enterprises</b>	<b>Universities and Research Institutes</b>
<b>RIA - Research and Innovation Action</b>	40%	65%
<b>IA - Innovation Action</b>	35%	65%
<b>Focus Topics</b>	35%	65%

#### Additional information and other conditions

- Maximum Vinnova contribution to one project is limited to 2 000 000 €
- The maximum funding from Vinnova for a single large enterprise, university and research institute is equivalent to 730 000 €.





- The maximum funding from Vinnova for a small and medium enterprise is equivalent to 450 000 €.
- Vinnova use the exchange rate for Euro/SEK of the ECB on the date of Chips JU call FPP phase deadline.



## Türkiye

### National contact person for Chips JU programme

Country	Name	First	Phone	email
Türkiye	GEZİCİ KOÇ	Özlem	+903122981772	<a href="mailto:ncpdis@tubitak.gov.tr">ncpdis@tubitak.gov.tr</a>
Türkiye	TİFTİK	Hasan Burak	+903122981752	<a href="mailto:ncpdis@tubitak.gov.tr">ncpdis@tubitak.gov.tr</a>

(<https://tubitak.gov.tr/tr/duyuru/chips-ortak-girisimi-2024-yili-cagrisi-ulusal-surec-dokumani-yayinlandi>)

**TUBITAK**, [www.tubitak.gov.tr](http://www.tubitak.gov.tr)

The National Funding Authority (NFA) of Türkiye for Chips JU is the Scientific and Technological Research Council of Türkiye (TUBITAK). Principal legal regulations and documents on the public funding of research, development and innovation in Türkiye are available on the TUBITAK websites.

#### 4. Legal requirements for the eligibility of a partner or a project

##### i) Type or nature of participants

Calls are open for public institutions and private companies of all sizes as well as for universities and research institutes in Türkiye.

##### j) Legal, administrative and financial conditions

Eligible participants can be funded via TUBITAK 1071 Programme. The national rules and the procedure for application will be available on TUBITAK website at:

<https://tubitak.gov.tr/tr/duyuru/chips-ortak-girisimi-2024-yili-cagrisi-ulusal-surec-dokumani-yayinlandi>

##### k) Consortium configuration

There is no limitation for the consortium configuration.

##### l) Other conditions



Only legal entities are eligible for funding. Natural persons will not be funded.

## 5. Eligibility of the costs and funding

### vi. Eligibility of costs

- Personnel cost
- Travel costs
- Expenditures for consumables
- Expenditures for instruments, equipment, software that would be used for R&D purposes
- Expenditures for subcontracting and other services need for R&D work

### vii. National public funding rates

Type of action/Type of Beneficiary	Large enterprise	SME	Public Research Institutes and Universities
Research and Innovation action	% 60-EU Contribution	% 75-EU Contribution	% 100-EU Contribution
Innovation Action	% 60-EU Contribution	% 75-EU Contribution	% 100-EU Contribution

### viii. Additional Information to be provided at submission and other conditions.

- The total Turkish funding budget for Chips 2024 NI Call is 6 000 000 €.
- There is no pre-allocated distribution of the Turkish funding budget between the NI Calls in 2024, nor to specific topics of any of the NI Calls in 2024.
- Turkish applicants should consider 1.5 Million Euro as a maximum amount of national funding for one single project, and that more than one Turkish partner should be involved to reach this level of national funding.
- While determining the project budget in national applications, the international project budget and the exchange selling rate of the Central Bank of the Republic of Turkey on the date of national application are taken as basis.
- Participants are subject to TUBITAK 1071 Programme rules.



## United Kingdom

### National contact person for the Chips JU programme

Country	Name	First Name	Tel	Email
United Kingdom	Sharp	Craig	+44 7920 750631	<a href="mailto:craig.sharp@iuk.ukri.org">craig.sharp@iuk.ukri.org</a>
	Morris	Ben	+44 7795 641229	<a href="mailto:ben.morris@iuk.ukri.org">ben.morris@iuk.ukri.org</a>

National Funding Agency: [Innovate UK](https://www.ukri.org/)

Innovate UK provides funding to support and stimulate innovation in the UK economy and the wider international CR&D&I ecosystem. We do this by encouraging businesses to work with other commercial and research organisations. We largely require that projects are led by businesses. Other types of organisations can apply in collaboration with a business partner.

#### 1) Legal requirements for the eligibility of a partner or project Type or nature of participants

The UK will support UK participants, as listed, in projects selected by the Chips Joint Undertaking Non- Initiative.

- registered business of any size
- academic institution
- public sector organisation
- research and technology organisation (RTO)

Only legal entities will be funded, natural persons will not be funded.

#### 2) Legal, administrative and financial conditions Consortium configuration

- must contain at least one UK registered business of any size
- can collaborate with other UK registered organisations

More information on the different types of organisation can be found in our [Funding rules](#). [Academic institutions](#) cannot lead or work alone.

Innovate UK will assess the financial viability (liquidity) and eligibility of UK applicants. As this is a joint undertaking, then those entities who fail the Undertakings in Difficulty test will be deemed ineligible for funding.



[EUR-Lex - 02014R0651-20210801 - EN - EUR-Lex \(europa.eu\)](#)

### **3) Other conditions**

UK applicants to Chips JU Calls 2024 must submit a joint National Part with the international full project proposal (FPP). In this National Part, participating UK companies must provide a credible description of the project's impact on the company's technological knowledge, capability, economic growth and benefits to the UK. Participating universities or research organisations must provide a credible description of the project's impact on the university's or research organisation's scientific and technological knowledge and benefit for the UK. It is important that each UK partner clearly describes their role and goals with the project, how they will benefit from it and the added value from this international collaboration.



On successful evaluation and receipt of the project approval, from the Chips JU, Innovate UK will send successful applicants documentation to complete for national processes.

**4) Eligibility of the costs and funding Eligibility of costs**

The eligibility of costs is in accordance with Innovate UK national rules on eligible costs. For details on the eligibility of costs see the national Cost Guidelines can be found [here](#) on the Innovate UK Costs Guidance webpage.

UK funded work must be carried out in the UK and your project costs must be incurred in the UK.

**5) Funding and funding rates**

Innovate UK has a budget of up to £5,000,000 for UK participation in the Chips JU

UK applicants can apply for total grant of up to £750,000 or the intervention rate, whichever is lower. If your total grant is greater than £750,000, then you must provide justification by email to [support@iuk.ukri.org](mailto:support@iuk.ukri.org) as soon as possible before you start your application and at least 10 working days before the competition closes, where we will decide whether to approve your request.

Subcontracting is limited to 20% of total UK eligible costs.

In the event that the UK receives more successfully approved project from Chips JU, then Innovate UK reserves the right to take a portfolio approach.

Funding from Innovate UK will equal the following:

Action	Topic	Large	Medium	Small	RTO*/Uni
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HORIZON-Chips 2024-1-IA T1	Global call according to SRIA 2024 (IA)	50%-JU	60%-JU	70%-JU	100%-JU
HORIZON-Chips 2024-1-IA T2	Focus topic on “High Performance RISC-V Automotive Processors supporting SDV”	50%-JU	60%-JU	70%-JU	100%-JU
HORIZON-Chips 2024-1-IA T3	Focus topic on “Service Oriented Framework for the Software Defined Vehicle of the future”	50%-JU	60%-JU	70%-JU	100%-JU
HORIZON-Chips 2024-2-RIA- T1	Global call according to SRIA 2023 (RIA)	50%-JU	60%-JU	70%-JU	100%-JU
HORIZON-Chips 2024-2-RIA T2	Focus topic on “Sustainable and greener manufacturing”	50%-JU	60%-JU	70%-JU	100%-JU



UK research organisations undertaking non-economic activity as part of the project can share up to 30% of the total UK eligible project costs. If your consortium contains more than one UK research organisation undertaking non-economic activity, this maximum is shared between them. Of that 30% you could get funding for your eligible project costs of up to:

- 80% of full economic costs (FEC) if you are a Je-S registered institution such as an academic
- 100% of your project costs if you are an RTO, not for profit organisation, public sector organisation or research organisation.

Academic institutions will receive 80% of their FEC of the combined Chips JU and Innovate UK contributions.





## **Annex 6: Art. 12(6) of Regulation (EU) 2021/694.**

As indicated in the work programme, for duly justified security reasons, legal entities established in associated countries<sup>37</sup> and legal entities that are established in the Union but are controlled from third countries may be eligible to participate<sup>38</sup> only if they comply with the requirements/conditions indicated below.

EEA EFTA countries are fully associated to the Digital Europe Programme and benefit from a status equivalent to that of the Member States. Other formally associated countries can participate under conditions described below.

The assessment of the foreign control is part of the eligibility criteria. For this, participants will be requested to fill in a self-assessment questionnaire to determine their control status during proposal submission. They will also be requested to submit supporting documents in order for the Commission to determine that the entities are not controlled from a third country.

Entities controlled from a third country and entities from associated countries can participate in topics where Article 12(6) of Regulation (EU) 2021/694 (DEP) applies, provided that they comply with certain conditions set out below. Those participants will be asked for guarantees approved by the eligible country in which they are established. The validity of these guarantees will be later assessed by the Chips Joint Undertaking.

### ***Conditions for foreign controlled entities***

The applicants that are established in an associated country and applicants that are established in the Union but are controlled from third countries shall be required to provide information demonstrating that:

- a) control over the applicant's corporate structure and decision-making process is not exercised in a manner that restrains or restricts in any way its ability to perform and complete the action;
- b) the access by non-eligible third countries or by non-eligible third country entities to classified or non-classified sensitive information<sup>39</sup>, such as e.g., know-how and business secrets relating to the action will be prevented;
- c) the persons involved in the action will have national security clearance issued by a Member State where appropriate;

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<sup>37</sup> Participation is further limited to associated countries that meet specific conditions. In order to be eligible, a third country must be formally associated to Digital Europe Programme and meet specific conditions (eligibility depending on the outcome of the assessment of replies to the questionnaire provided by relevant associated countries to meet these specific conditions) at the time of signature of the grant agreement.

<sup>38</sup> See Article 12(6) of the Regulation (EU) 2021/694.

<sup>39</sup> Commission Decision 2015/444/EC, Euratom of 13 March 2015 on the security rules for protecting EU classified information (OJ L 72, 17.3.2015, p. 53).



- d) the results of the action shall remain within the beneficiary and shall not be subject to control or restrictions by non-eligible third countries or other non-eligible third country entities during the action and for a specified period after its completion.
- e) For applicants established in the EU and controlled from a third country and established in Associated Countries that they are not subject to export restrictions to EU Member States on results, technologies, services and products developed under the project for at least 4 years after the end of the action, in order to ensure the security of supply.

More information about the procedure, the conditions and the guarantees will be detailed in the call documents and the online manual in the EU Funding & Tenders Portal. Procurement actions will also be subject to Article 12(6) DEP and, when applying this article, will use the same conditions as calls for proposals (a, b and d).